

CLP HOLDINGS LIMITED

Shareholders Disclosed Under Section 329 of the SFO  
Summary as at 29 December 2023

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	295,780 (L)	0.01	29/12/2023
2	ABN AMRO Clearing Hong Kong Ltd.	107,841 (L)	0.00	29/12/2023
3	Bank of China (Hong Kong) Limited	81,252,241 (L)	3.22	29/12/2023
4	Bank of Communications Co., Ltd. Hong Kong Branch	8,085,921 (L)	0.32	29/12/2023
5	Bank of Singapore Limited	832,097 (L)	0.03	29/12/2023
6	Barclays Bank PLC	1,016,382 (L)	0.04	29/12/2023
7	BMO Financial Group	8,086 (L)	0.00	29/12/2023
8	BNP Paribas Securities Services	9,016,346 (L)	0.36	09/01/2024
9	BNP Paribas Securities Services Sydney	2,407,676 (L)	0.10	26/01/2024
10	BOCI Securities Limited	15,582,861 (L)	0.62	29/12/2023
11	Bright Smart Securities International (H.K.) Limited	350,000 (L)	0.01	29/12/2023
12	Brown Brothers Harriman & Co.	29,724,520 (L)	1.18	29/12/2023
13	Caceis Bank Luxembourg	210,500 (L)	0.01	03/01/2024
14	Caceis Bank Paris	726,382 (L)	0.03	03/01/2024
15	Centaline Securities Limited	181,000 (L)	0.01	29/12/2023
16	China CITIC Bank International Limited	5,683,670 (L)	0.22	29/12/2023
17	China Construction Bank (Asia) Corporation Limited	6,981,842 (L)	0.28	29/12/2023
18	Chiyu Banking Corporation Ltd	3,270,484 (L)	0.13	29/12/2023
19	Chong Hing Bank Limited	989,348 (L)	0.04	29/12/2023
20	Chong Hing Securities Limited	5,618,428 (L)	0.22	29/12/2023
21	Citibank (Hong Kong) Limited	11,819,125 (L)	0.47	29/12/2023
22	Citibank N.A. Hong Kong	77,854,016 (L)	3.08	29/12/2023
23	Citibank, N.A. London Branch	18,938,758 (L)	0.75	26/01/2024
24	Clearstream International S.A.	769,345 (L)	0.03	29/12/2023
25	CLSA Limited	39,613,583 (L)	1.57	29/12/2023
26	CMB Wing Lung Bank Limited	10,402,514 (L)	0.41	29/12/2023
27	Credit Suisse AG, Hong Kong Branch	2,525,500 (L)	0.10	29/12/2023
28	Credit Suisse Securities (Hong Kong) Limited	122,989 (L)	0.00	29/12/2023
29	DB Nominees (Singapore) Pte Ltd	52,837 (L)	0.00	29/12/2023
30	DBS Bank (Hong Kong) Limited	6,717,316 (L)	0.27	29/12/2023
31	DBS Bank Ltd, Hong Kong Branch	786,439 (L)	0.03	29/12/2023
32	Delta Asia Securities Limited	172,840 (L)	0.01	29/12/2023
33	Deutsche Bank AG Hong Kong Branch	1,897,943 (L)	0.08	29/12/2023
34	Deutsche Bank AG Singapore Branch Private Wealth Management	1,578,690 (L)	0.06	29/12/2023
35	East Asia Securities Company Limited	7,531,267 (L)	0.30	29/12/2023
36	EFG Bank AG, Hong Kong Branch	914,355 (L)	0.04	29/12/2023
37	Euroclear Bank S.A. NV	894,785 (L)	0.04	29/12/2023
38	Everbright Securities International	102,225 (L)	0.00	29/12/2023
39	FB Securities (Hong Kong) Limited	1,378,197 (L)	0.05	29/12/2023
40	Fubon Bank (Hong Kong) Limited	1,378,197 (L)	0.05	29/12/2023
41	GF Securities (Hong Kong) Brokerage Limited	8,021,000 (L)	0.32	29/12/2023
42	Goldman Sachs Services Private Limited	6,409,872 (L)	0.25	02/01/2024
43	Halcyon Securities Limited	165,000 (L)	0.01	29/12/2023
44	Hang Seng Bank Ltd and Hang Seng Securities Ltd	115,151,416 (L)	4.56	29/12/2023
45	HSBC Bank Plc	17,423,063 (L)	0.69	29/12/2023
46	Interactive Brokers Hong Kong Limited	128,000 (L)	0.01	29/12/2023
47	JPMCB NA Singapore Branch	1,936,913 (L)	0.08	29/12/2023
48	JPMorgan Chase Bank, N.A.	109,872,217 (L)	4.35	29/12/2023
49	JPMorgan Chase Bank, National Association	107,741,136 (L)	4.26	29/12/2023
50	KBC Bank NV	144,540 (L)	0.01	29/12/2023

(L): Long Position

(S): Short Position

(D): Equity Derivatives

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51	LGT Bank Ltd.	2,325,328 (L)	0.09	29/12/2023
52	Mellon Financial Corporation	3,677,880 (L)	0.15	02/01/2024
53	Merrill Lynch Far East Limited	8,854,922 (L)	0.35	29/12/2023
54	Merrill Lynch Far East Limited	230 (L)(D)	0.00	29/12/2023
55	Merrill Lynch Far East Limited	190 (S)(D)	0.00	29/12/2023
56	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	3,368,612 (L)	0.13	29/12/2023
57	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,809,145 (S)	0.07	29/12/2023
58	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	156,310 (L)(D)	0.01	29/12/2023
59	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	92,232 (S)(D)	0.00	29/12/2023
60	Nanyang Commercial Bank Limited	7,381,902 (L)	0.29	29/12/2023
61	National Financial Services LLC	2,279,439 (L)	0.09	02/01/2024
62	Natixis	24,395 (L)	0.00	29/12/2023
63	Nomura International Plc	37,248 (L)	0.00	29/12/2023
64	Nomura Singapore Limited	241,690 (L)	0.01	29/12/2023
65	Northern Trust	51,110,001 (L)	2.02	04/01/2024
66	OCBC Bank (Hong Kong) Limited	7,690,665 (L)	0.30	29/12/2023
67	Phillip Securities (HK) Limited	370,000 (L)	0.01	29/12/2023
68	Pictet & Cie (Europe) SA	427,632 (L)	0.02	29/12/2023
69	Public Bank (Hong Kong) Limited	1,992,062 (L)	0.08	29/12/2023
70	Public Financial Securities Limited	801,843 (L)	0.03	29/12/2023
71	RBC Investor and Treasury Services Trust S.A.	6,466,708 (L)	0.26	29/12/2023
72	RBC Investor Services Bank S.A.	237,277 (L)	0.01	29/12/2023
73	SCB Wealth Management Hong Kong	1,387,000 (L)	0.05	29/12/2023
74	Shanghai Commercial Bank Limited	17,064,549 (L)	0.68	29/12/2023
75	Siu On Securities Ltd	3,006,064 (L)	0.12	29/12/2023
76	SIX SIS Ltd	5,537,628 (L)	0.22	29/12/2023
77	Standard Chartered Bank (Hong Kong) Limited	49,586,700 (L)	1.96	29/12/2023
78	Standard Chartered Bank (Hong Kong) Limited Private Bank	4,986,832 (L)	0.20	29/12/2023
79	State Street Bank and Trust Company	199,701,281 (L)	7.90	16/01/2024
80	Tai Fung Kuentai Securities Co. Ltd.	100,000 (L)	0.00	24/01/2024
81	The Bank of East Asia, Limited	11,748,533 (L)	0.47	29/12/2023
82	The Bank of New York Mellon Corporation	82,526,451 (L)	3.27	29/12/2023
83	The Hong Kong and Shanghai Banking Corporation Limited, Fund Services	80,345,554 (L)	3.18	29/12/2023
84	The Hongkong and Shanghai Banking Corporation Limited	604,727,162 (L)	23.94	29/12/2023
85	The Hongkong and Shanghai Banking Corporation Limited, Private Banking Division	53,231,522 (L)	2.11	29/12/2023
86	The HSBC Broking Securities (Asia) Limited	22,830,995 (L)	0.90	29/12/2023
87	The Master Trust Bank of Japan	13,300,825 (L)	0.53	29/12/2023
88	The Nomura Trust and Banking Co., Ltd.	766,917 (L)	0.03	29/12/2023
89	UBS AG Hong Kong Branch	11,812,986 (L)	0.47	29/12/2023
90	UBS AG London Branch	2,221,847 (L)	0.09	29/12/2023
91	UBS AG Singapore Branch	2,313,613 (L)	0.09	29/12/2023
92	UBS Europe SE, Luxembourg Branch	93,000 (L)	0.00	29/12/2023
93	UBS Securities Hong Kong Limited	20,555,895 (L)	0.81	29/12/2023
94	UBS Switzerland AG	2,267,048 (L)	0.09	02/01/2024
95	Union Bancaire Privée, UBP SA Singapore Branch	594,120 (L)	0.02	29/12/2023

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96	UOB Kay Hian (Hong Kong) Limited	5,265,342 (L)	0.21	29/12/2023
97	Win Wong Securities Limited	119,783 (L)	0.00	29/12/2023