

CLP HOLDINGS LIMITED

Shareholders Disclosed Under Section 329 of the SFO  
Summary as at 30 June 2022

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABN AMRO Clearing Bank N.V.	781 (L)	0.00	30/06/2022
2	Bank of China (Hong Kong) Limited	78,263,045 (L)	3.10	30/06/2022
3	Bank of Communications Co., Ltd. Hong Kong Branch	8,203,303 (L)	0.32	30/06/2022
4	Barclays Bank PLC	3,560,103 (L)	0.14	30/06/2022
5	BNP Paribas Securities Services	19,985,527 (L)	0.79	19/07/2022
6	BNP Paribas Securities Services Sydney	2,874,306 (L)	0.11	14/07/2022
7	BOCI Securities Limited	6,830,142 (L)	0.27	30/06/2022
8	Bright Smart Securities International (H.K.) Limited	1,510,000 (L)	0.06	30/06/2022
9	Brown Brothers Harriman & Co.	28,165,930 (L)	1.11	30/06/2022
10	Caceis Bank Luxembourg	129,400 (L)	0.01	30/06/2022
11	Caceis Bank Paris	1,268,082 (L)	0.05	30/06/2022
12	China CITIC Bank International Limited	5,025,706 (L)	0.20	30/06/2022
13	China Construction Bank (Asia) Corporation Limited	7,275,540 (L)	0.29	30/06/2022
14	China International Capital Corporation Hong Kong Securities Limited	274,671 (L)	0.01	30/06/2022
15	Chiyu Banking Corporation Ltd	3,417,891 (L)	0.14	30/06/2022
16	Chong Hing Bank Limited	1,133,348 (L)	0.04	30/06/2022
17	Chong Hing Securities Limited	5,584,494 (L)	0.22	30/06/2022
18	Christfund Securities Limited	2,188,726 (L)	0.09	30/06/2022
19	Citibank (Hong Kong) Limited	11,739,504 (L)	0.46	30/06/2022
20	Citibank N.A. Hong Kong	83,765,559 (L)	3.32	30/06/2022
21	Citibank, N.A. London Branch	20,203,958 (L)	0.80	15/07/2022
22	Clearstream International S.A.	983,717 (L)	0.04	30/06/2022
23	CMB Wing Lung Bank Limited	22,657,233 (L)	0.90	30/06/2022
24	Credit Suisse AG, Hong Kong Branch	2,718,700 (L)	0.11	30/06/2022
25	Credit Suisse Securities (Hong Kong) Limited	117,064 (L)	0.00	30/06/2022
26	DB Nominees (Singapore) Pte Ltd	44,002 (L)	0.00	30/06/2022
27	DBS Bank (Hong Kong) Limited	6,905,316 (L)	0.27	30/06/2022
28	DBS Bank Ltd	862,300 (L)	0.03	30/06/2022
29	Deutsche Bank AG, Singapore Branch Private Wealth Management	1,038,216 (L)	0.04	30/06/2022
30	East Asia Securities Company Limited	8,318,083 (L)	0.33	30/06/2022
31	FB Securities (Hong Kong) Limited	1,317,524 (L)	0.05	30/06/2022
32	Fubon Bank (Hong Kong) Limited	1,317,524 (L)	0.05	30/06/2022
33	GF Securities (Hong Kong) Brokerage Limited	1,403,500 (L)	0.06	30/06/2022
34	Goldman Sachs Services Private Limited	5,507,677 (L)	0.22	30/06/2022
35	Hang Seng Bank Ltd and Hang Seng Securities Ltd	108,166,597 (L)	4.28	30/06/2022
36	HSBC Bank Plc	13,857,269 (L)	0.55	19/07/2022
37	HSBC Broking Securities (Asia) Limited	13,692,320 (L)	0.54	30/06/2022
38	ICBC (Asia) Securities Limited	5,193,854 (L)	0.21	30/06/2022
39	Industrial and Commercial Bank of China (Asia) Limited	4,392,607 (L)	0.17	30/06/2022
40	Interactive Brokers Hong Kong Limited	3,092,618 (L)	0.12	28/06/2022
41	JPMorgan Chase Bank, N.A.	117,601,454 (L)	4.65	30/06/2022
42	JPMorgan Chase Bank, National Association	110,081,102 (L)	4.36	30/06/2022
43	KBC Bank NV	81,585 (L)	0.00	30/06/2022
44	LGT Bank Ltd.	1,597,300 (L)	0.06	30/06/2022
45	Mellon Financial Corporation	12,754,021 (L)	0.50	15/07/2022
46	Merrill Lynch Far East Limited	4,849,382 (L)	0.19	30/06/2022
47	Merrill Lynch Far East Limited	1,115 (L)(D)	0.00	30/06/2022
48	Merrill Lynch Far East Limited	790 (S)(D)	0.00	30/06/2022

(L): Long Position

(S): Short Position

(D): Equity Derivatives

CLP HOLDINGS LIMITED

Shareholders Disclosed Under Section 329 of the SFO  
Summary as at 30 June 2022

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
49	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	246,828 (L)	0.01	30/06/2022
50	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	60,000 (S)	0.00	30/06/2022
51	Nanyang Commercial Bank Limited	7,269,787 (L)	0.29	30/06/2022
52	National Australia Bank Limited	514,222 (L)	0.02	19/07/2022
53	National Financial Services LLC	2,279,439 (L)	0.09	15/07/2022
54	Natixis	692,011 (L)	0.03	30/06/2022
55	Nomura International plc	47,318 (L)	0.00	30/06/2022
56	Nomura Singapore Limited	176,400 (L)	0.01	30/06/2022
57	Northern Trust	48,250,708 (L)	1.91	19/07/2022
58	OCBC Wing Hang Shares Brokerage Co. Limited	648,656 (L)	0.03	30/06/2022
59	Phillip Securities (Hong Kong) Limited	2,221,500 (L)	0.09	30/06/2022
60	Pictet & Cie (Europe) SA	414,059 (L)	0.02	14/07/2022
61	Public Financial Securities Limited	1,015,062 (L)	0.04	30/06/2022
62	RBC Investor and Treasury Services Trust S.A.	5,035,709 (L)	0.20	30/06/2022
63	RBC Investor Services Bank S.A.	339,104 (L)	0.01	30/06/2022
64	SCB Wealth Management Hong Kong	1,364,000 (L)	0.05	30/06/2022
65	SG Securities (HK) Limited	3,125,310 (L)	0.12	30/06/2022
66	Shanghai Commercial Bank Limited	17,464,140 (L)	0.69	30/06/2022
67	SIX SIS Ltd	7,588,716 (L)	0.30	26/07/2022
68	Société Générale SA	1,153,337 (L)	0.05	15/07/2022
69	Standard Chartered Bank (Hong Kong) Limited	45,136,299 (L)	1.79	30/06/2022
70	Standard Chartered Bank (Hong Kong) Limited Private Bank	5,989,687 (L)	0.24	30/06/2022
71	State Street Bank and Trust Company	199,682,780 (L)	7.90	30/06/2022
72	The Bank of East Asia, Limited	19,505,899 (L)	0.77	30/06/2022
73	The Bank of New York Mellon Corporation	79,535,228 (L)	3.15	15/07/2022
74	The Hong Kong & Shanghai Banking Corporation Limited, Fund Services	82,065,065 (L)	3.25	30/06/2022
75	The Hongkong and Shanghai Banking Corporation Limited	599,144,591 (L)	23.71	30/06/2022
76	The Hongkong and Shanghai Banking Corporation Limited, Private Banking Division	55,417,527 (L)	2.19	30/06/2022
77	The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch – Private Banking Division	5,663,126 (L)	0.22	30/06/2022
78	UBS AG Hong Kong Branch	12,530,878 (L)	0.50	30/06/2022
79	UBS AG London Branch	288,406 (L)	0.01	30/06/2022
80	UBS AG Singapore Branch	1,981,469 (L)	0.08	30/06/2022
81	UBS Europe SE, Luxembourg Branch	116,000 (L)	0.00	30/06/2022
82	UBS Securities Hong Kong Limited	22,769,081 (L)	0.90	30/06/2022
83	UBS Switzerland AG	2,854,248 (L)	0.11	13/07/2022
84	Union Bancaire Privée, UBP SA Singapore Branch	563,620 (L)	0.02	27/06/2022
85	UOB Kay Hian (Hong Kong) Ltd	1,747,143 (L)	0.07	30/06/2022

(L): Long Position

(S): Short Position

(D): Equity Derivatives