

CLP HOLDINGS LIMITED

**Shareholders Disclosed Under Section 329 of the SFO
Summary as at 31 Dec 2020**

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABN AMRO Clearing Bank N.V.	1,005 (L)	0.00	31/12/2020
2	Bank Consortium Trust Company Limited	253,500 (L)	0.01	31/12/2020
3	Bank of China (Hong Kong) Limited	65,685,936 (L)	2.60	31/12/2020
4	Bank of Communications Co., Ltd. Hong Kong Branch	6,564,283 (L)	0.26	31/12/2020
5	Barclays Bank PLC	850,048 (L)	0.03	31/12/2020
6	BNP Paribas Hong Kong Branch	3,017,851 (L)	0.12	31/12/2020
7	BNP Paribas Securities Services	20,553,143 (L)	0.81	13/01/2021
8	BNP Paribas Securities Services - Frankfurt	1,015,049 (L)	0.04	31/12/2020
9	BNP Paribas Securities Services Sydney	2,261,406 (L)	0.09	04/01/2021
10	Brown Brothers Harriman & Co.	30,780,759 (L)	1.22	05/01/2021
11	Caceis Bank Luxembourg	509,640 (L)	0.02	31/12/2020
12	Caceis Bank Paris	1,330,141 (L)	0.05	31/12/2020
13	Chelsea Securities Limited	15,247,500 (L)	0.60	31/12/2020
14	China Construction Bank (Asia) Corporation Limited	6,338,135 (L)	0.25	31/12/2020
15	China International Capital Corporation Hong Kong Securities Limited	108,000 (L)	0.00	31/12/2020
16	Chiyu Banking Corporation Ltd	3,138,931 (L)	0.12	31/12/2020
17	Chong Hing Bank Limited	1,131,348 (L)	0.04	31/12/2020
18	Chong Hing Securities Limited	4,713,641 (L)	0.19	31/12/2020
19	Chow Sang Sang Securities Limited	372,448 (L)	0.01	31/12/2020
20	Citibank (Hong Kong) Limited	9,810,176 (L)	0.39	31/12/2020
21	Citibank Hong Kong - PBG Clients HK	7,318,442 (L)	0.29	31/12/2020
22	Citibank N.A. Hong Kong	95,029,097 (L)	3.76	31/12/2020
23	Citibank N.A. Singapore	4,615,743 (L)	0.18	31/12/2020
24	Citibank, N.A. London Branch	20,760,486 (L)	0.82	04/01/2021
25	Clearstream International S.A.	1,074,198 (L)	0.04	31/12/2020
26	CMB Wing Lung Bank Limited	20,370,956 (L)	0.81	31/12/2020
27	Corporate Brokers Limited	103,692 (L)	0.00	31/12/2020
28	Credit Suisse AG, Hong Kong Branch	2,367,000 (L)	0.09	31/12/2020
29	Credit Suisse Securities (Europe) Limited	4,098 (L)	0.00	31/12/2020
30	DBS Bank Ltd	599,546 (L)	0.02	31/12/2020
31	DBS Bank (Hong Kong) Limited	3,005,598 (L)	0.12	31/12/2020
32	Deutsche Bank AG, Hong Kong Branch	6,744,598 (L)	0.27	31/12/2020
33	Deutsche Bank AG, Singapore Branch Private Wealth Management	996,686 (L)	0.04	31/12/2020
34	FB Securities (Hong Kong) Limited	1,162,524 (L)	0.05	31/12/2020
35	Fidelity Investments, Ireland	247,743 (L)	0.01	06/01/2021
36	Fubon Bank (Hong Kong) Limited	1,162,524 (L)	0.05	31/12/2020
37	Goldman Sachs Services Private Limited	3,667,294 (L)	0.15	31/12/2020

(L): Long Position

(S): Short Position

(D): Equity Derivatives

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38	Halcyon Securities Limited	157,000 (L)	0.01	31/12/2020
39	Hang Seng Bank Ltd and Hang Seng Securities Ltd	99,883,280 (L)	3.95	31/12/2020
40	Hantec Securities Co., Limited	164,355 (L)	0.01	31/12/2020
41	HSBC Bank Plc	12,343,772 (L)	0.49	31/12/2020
42	HSBC Broking Securities (Asia) Limited	7,618,539 (L)	0.30	31/12/2020
43	ICBC (Asia) Securities Limited	4,532,952 (L)	0.18	31/12/2020
44	JPMCB NA Singapore Branch	2,170,913 (L)	0.09	31/12/2020
45	JPMorgan Chase & Co.	4,152,000 (L)	0.16	06/01/2021
46	JPMorgan Chase Bank, N.A.	137,321,876 (L)	5.44	31/12/2020
47	JPMorgan Chase Bank, National Association	128,451,725 (L)	5.08	31/12/2020
48	KBC Bank NV	193,532 (L)	0.01	31/12/2020
49	Mellon Financial Corporation	14,909,447 (L)	0.59	04/01/2021
50	Merrill Lynch Far East Limited	778,189 (L)	0.03	31/12/2020
51	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,721,144 (L)	0.07	31/12/2020
52	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,590,967 (S)	0.06	31/12/2020
53	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,081,202 (L)(D)	0.04	31/12/2020
54	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,078,954 (S)(D)	0.04	31/12/2020
55	Nanyang Commercial Bank Limited	7,443,337 (L)	0.29	31/12/2020
56	Northern Trust	58,063,143 (L)	2.30	04/01/2021
57	OCBC Wing Hang Bank Limited Hong Kong	7,434,764 (L)	0.29	31/12/2020
58	OCBC Wing Hang Shares Brokerage Co. Limited	340,806 (L)	0.01	31/12/2020
59	PC Securities Ltd	191,500 (L)	0.01	31/12/2020
60	Pershing LLC	535,060 (L)	0.02	02/02/2021
61	Pictet & Cie (Europe) SA	258,500 (L)	0.01	04/01/2021
62	Public Bank (Hong Kong) Limited	887,932 (L)	0.04	31/12/2020
63	Public Financial Securities Limited	781,602 (L)	0.03	31/12/2020
64	Raffles Nominees Pte Limited	1,647,782 (L)	0.07	22/01/2021
65	RBC Investment Services (Asia) Limited	160,000 (L)	0.01	31/12/2020
66	RBC Investor & Treasury Services	5,049,603 (L)	0.20	31/12/2020
67	RBC Investor Services Bank S.A.	409,092 (L)	0.02	31/12/2020

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68	SCB Wealth Management Hong Kong	1,765,151 (L)	0.07	31/12/2020
69	SEB	216,700 (L)	0.01	31/12/2020
70	SG Securities (HK) Limited	943,762 (L)	0.04	31/12/2020
71	Shanghai Commercial Bank Limited	16,776,842 (L)	0.66	31/12/2020
72	Siu On Securities Ltd	1,845,090 (L)	0.07	31/12/2020
73	SIX SIS Ltd	7,104,728 (L)	0.28	31/12/2020
74	Société Générale SA	104,941 (L)	0.00	31/12/2020
75	Standard Chartered Bank (Hong Kong) Limited	44,721,833 (L)	1.77	31/12/2020
76	Standard Chartered Private Bank	5,318,714 (L)	0.21	31/12/2020
77	State Street Bank and Trust Company	191,527,741 (L)	7.58	31/12/2020
78	Sun Hung Kai Investment Services Limited	602,225 (L)	0.02	31/12/2020
79	Tai Tak Securities (Asia) Ltd.	133,100 (L)	0.01	31/12/2020
80	Taiping Securities (HK) Co. Limited	340,261 (L)	0.01	31/12/2020
81	Tarzan Stock & Shares Limited	111,663 (L)	0.00	31/12/2020
82	The Bank of East Asia, Limited	19,890,380 (L)	0.79	31/12/2020
83	The Bank of New York Mellon Corporation	98,647,545 (L)	3.90	04/01/2021
84	The Hongkong and Shanghai Banking Corporation Limited	617,251,939 (L)	24.43	31/12/2020
85	The Hong Kong & Shanghai Banking Corporation Limited, Fund Services	86,908,321 (L)	3.44	31/12/2020
86	The Hongkong and Shanghai Banking Corporation Limited, Private Bank Division	54,351,379 (L)	2.15	31/12/2020
87	The Nomura Trust and Banking Co., Ltd.	890,417 (L)	0.04	30/12/2020
88	Trust & Custody Services Bank, Ltd.	9,250,967 (L)	0.37	31/12/2020
89	Tsun Chi Yuen Securities Co Limited	259,393 (L)	0.01	31/12/2020
90	UBS AG London Branch	189,701 (L)	0.01	31/12/2020
91	UBS Europe SE, Luxembourg Branch	117,500 (L)	0.00	31/12/2020
92	UBS Securities Hong Kong Limited	22,241,992 (L)	0.88	31/12/2020
93	UBS Switzerland AG	3,489,078 (L)	0.14	05/01/2021
94	Win Wong Securities Limited	181,315 (L)	0.01	31/12/2020

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