

CLP HOLDINGS LIMITED

**Shareholders Disclosed Under Section 329 of the SFO
Summary as at 31 December 2019**

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABN AMRO Clearing	1,065 (L)	0.00	31/12/2019
2	Bank Consortium Trust Company Limited	367,000 (L)	0.01	31/12/2019
3	Bank of China (Hong Kong) Limited	52,841,677 (L)	2.09	31/12/2019
4	Bank of Singapore Limited	3,072,833 (L)	0.12	31/12/2019
5	Barclays Capital Securities Limited	2,205,739 (L)	0.09	31/12/2019
6	BNP Paribas Hong Kong Branch	4,888,595 (L)	0.19	31/12/2019
7	BNP Paribas Securities Services	12,909,796 (L)	0.51	03/01/2020
8	BNP Paribas Securities Services Luxembourg	983,740 (L)	0.04	31/12/2019
9	BNP Paribas Securities Services Sydney	2,451,985 (L)	0.10	02/01/2020
10	BOCI Securities Limited	5,544,110 (L)	0.22	31/12/2019
11	Bright Smart Securities International (H.K.) Limited	3,045,786 (L)	0.12	31/12/2019
12	Caceis Bank Luxembourg	534,500 (L)	0.02	31/12/2019
13	Caceis Bank Paris	1,130,400 (L)	0.04	31/12/2019
14	Chelsea Securities Limited	15,243,500 (L)	0.60	31/12/2019
15	China CITIC Bank International Limited	3,558,151 (L)	0.14	31/12/2019
16	China Construction Bank (Asia) Corporation Limited	5,005,169 (L)	0.20	31/12/2019
17	China International Capital Corporation Hong Kong Securities Limited	106,500 (L)	0.00	31/12/2019
18	Chiyu Banking Corporation Ltd	2,608,911 (L)	0.10	31/12/2019
19	Chong Hing Bank Limited	1,130,348 (L)	0.04	31/12/2019
20	Chong Hing Securities Limited	3,750,485 (L)	0.15	31/12/2019
21	Chow Sang Sang Securities Limited	322,596 (L)	0.01	31/12/2019
22	Citibank (Hong Kong) Limited	5,998,208 (L)	0.24	31/12/2019
23	Citibank N.A.	112,521,535 (L)	4.45	31/12/2019
24	Citibank N.A. Singapore	5,979,287 (L)	0.24	31/12/2019
25	Clearstream International S.A.	904,004 (L)	0.04	31/12/2019
26	CMB Wing Lung Bank Limited	20,522,748 (L)	0.81	31/12/2019
27	Credit Suisse AG, Hong Kong Branch	2,242,000 (L)	0.09	31/12/2019
28	Credit Suisse Securities (Europe) Limited	41,695 (L)	0.00	31/12/2019
29	Danske Bank	1,530,250 (L)	0.06	31/12/2019
30	DBS Bank (Hong Kong) Limited	7,685,529 (L)	0.30	22/01/2020
31	DBS Bank Ltd	472,546 (L)	0.02	31/12/2019
32	DBS Vickers (Hong Kong) Ltd	151,138 (L)	0.01	31/12/2019
33	Deutsche Bank AG Hong Kong Branch	17,134,916 (L)	0.68	31/12/2019
34	FB Securities (Hong Kong) Limited	898,508 (L)	0.04	31/12/2019
35	First Shanghai Securities Limited	382,679 (L)	0.02	31/12/2019
36	Fubon Bank (Hong Kong) Limited	898,508 (L)	0.04	31/12/2019
37	GF Securities (Hong Kong) Brokerage Limited	1,309,104 (L)	0.05	31/12/2019
38	Goldman Sachs Services Private Limited	6,873,793 (L)	0.27	31/12/2019
39	Haitong International Securities Co Limited	230,000 (L)	0.01	03/01/2020
40	Halcyon Securities Limited	146,500 (L)	0.01	31/12/2019

(L): Long Position

(S): Short Position

(D): Equity Derivatives

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41	Hang Seng Bank Ltd and Hang Seng Securities Ltd	88,926,497 (L)	3.52	31/12/2019
42	HSBC Bank Plc	12,889,668 (L)	0.51	31/12/2019
43	HSBC Broking Securities (Asia) Limited	8,294,039 (L)	0.33	31/12/2019
44	HSBC Fund Services Clients Accounts	94,700,916 (L)	3.75	31/12/2019
45	HSBC Securities Brokers (Asia) Limited	7,111,371 (L)	0.28	31/12/2019
46	I-Access Investors Limited	330,252 (L)	0.01	07/01/2020
47	ICBC (Asia) Securities Limited	3,276,190 (L)	0.13	31/12/2019
48	JPMCB NA Singapore Branch	2,093,413 (L)	0.08	31/12/2019
49	JPMorgan Chase & Co.	110,000 (L)	0.00	02/01/2020
50	JPMorgan Chase Bank, NA	133,301,715 (L)	5.28	31/12/2019
51	KAS BANK N.V.	358,781 (L)	0.01	31/12/2019
52	KBC Bank NV	303,229 (L)	0.01	31/12/2019
53	KMT Securities Ltd	154,000 (L)	0.01	31/12/2019
54	Mellon Financial Corporation	16,019,467 (L)	0.63	03/01/2020
55	Merrill Lynch Far East Limited	1,636,360 (L)	0.06	31/12/2019
56	Monex Boom Securities (H.K.) Limited	118,281 (L)	0.00	31/12/2019
57	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	6,154,888 (L)	0.24	31/12/2019
58	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	300,000 (S)	0.01	31/12/2019
59	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	3,992,120 (L)(D)	0.16	31/12/2019
60	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,601,964 (S)(D)	0.06	31/12/2019
61	Mouette Securities Company Limited	122,500 (L)	0.00	31/12/2019
62	Nanyang Commercial Bank Ltd	5,339,891 (L)	0.21	31/12/2019
63	National Financial Services LLC	247,743 (L)	0.01	02/01/2020
64	National Nominees Limited	904,271 (L)	0.04	02/01/2020
65	Northern Trust	59,565,412 (L)	2.36	31/12/2019
66	OCBC Wing Hang Bank Limited	6,844,134 (L)	0.27	31/12/2019
67	OCBC Wing Hang Shares Brokerage Co. Limited	267,455 (L)	0.01	31/12/2019
68	PC Securities Ltd	206,500 (L)	0.01	31/12/2019
69	Phillip Securities (HK) Limited	1,231,238 (L)	0.05	31/12/2019
70	Pictet & Cie (Europe) SA	13,696,500 (L)	0.54	31/12/2019
71	Po Kay Securities & Shares Co Ltd	203,800 (L)	0.01	31/12/2019
72	Prime Securities Limited	141,360 (L)	0.01	31/12/2019
73	Public Bank (Hong Kong) Limited	106,330 (L)	0.00	31/12/2019
74	Public Financial Securities Limited	729,102 (L)	0.03	31/12/2019
75	Raffles Nominees Pte Limited	1,735,202 (L)	0.07	17/01/2020
76	RBC Investment Services (Asia) Limited	160,000 (L)	0.01	31/12/2019
77	RBC Investor & Treasury Services Bank S.A.	6,113,155 (L)	0.24	31/12/2019
78	RBC Investor Services Bank S.A.	1,447,299 (L)	0.06	31/12/2019
79	SEB	362,400 (L)	0.01	31/12/2019
80	SG Securities (HK) Ltd	1,953,315 (L)	0.08	31/12/2019

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81	Shanghai Commercial Bank Limited	14,743,266 (L)	0.58	31/12/2019
82	Siu On Securities Ltd	1,821,220 (L)	0.07	31/12/2019
83	SIX SIS Ltd	7,709,918 (L)	0.31	31/12/2019
84	Solid King Securities Limited	106,665 (L)	0.00	31/12/2019
85	Standard Chartered Bank (Hong Kong) Limited	239,072,282 (L)	9.46	31/12/2019
86	State Street Bank and Trust Company	201,170,135 (L)	7.96	31/12/2019
87	Sumitomo Mitsui Trust Bank (U.S.A.) Limited	3,509,782 (L)	0.14	31/12/2019
88	Sun Hung Kai Investment Services Limited	602,225 (L)	0.02	31/12/2019
89	Tai Tak Securities (Asia) Ltd	118,000 (L)	0.00	31/12/2019
90	Taiping Securities (HK) Company Limited	337,941 (L)	0.01	31/12/2019
91	TD King Securities Limited	106,633 (L)	0.00	31/12/2019
92	The Bank of East Asia, Limited	17,153,257 (L)	0.68	31/12/2019
93	The Bank of New York Mellon Corporation	105,645,190 (L)	4.18	13/01/2020
94	The Hongkong and Shanghai Banking Corporation Limited	441,627,768 (L)	17.48	31/12/2019
95	The Hongkong and Shanghai Banking Corporation Limited, Private Bank Division	56,397,098 (L)	2.23	31/12/2019
96	The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch - Private Banking Division	4,716,932 (L)	0.19	31/12/2019
97	The Nomura Trust and Banking Co., Ltd.	618,331 (L)	0.02	06/01/2020
98	UBS AG Hong Kong Branch	9,742,780 (L)	0.39	31/12/2019
99	UBS AG London Branch	160,263 (L)	0.01	06/01/2020
100	UBS AG Singapore Branch	1,519,890 (L)	0.06	31/12/2019
101	UBS Securities Hong Kong Ltd	20,324,221 (L)	0.80	31/12/2019
102	UBS Switzerland AG	4,101,686 (L)	0.16	06/01/2020

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