

CLP HOLDINGS LIMITED

Shareholders Disclosed Under Section 329 of the SFO
Summary as at 31 May 2018

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	292,880 (L)	0.01	31/05/2018
2	Bank Consortium Trust Company Limited	2,068,500 (L)	0.08	31/05/2018
3	Bank of China (Hong Kong) Limited	51,848,224 (L)	2.05	31/05/2018
4	Bank of Communications Co., Ltd. Hong Kong Branch	5,057,706 (L)	0.20	31/05/2018
5	Bank of Singapore Limited	1,101,513 (L)	0.04	31/05/2018
6	Banque Pictet & Cie SA	1,398,633 (L)	0.06	07/06/2018
7	Barclays Capital Securities Limited	4,754,739 (L)	0.19	31/05/2018
8	BNP Paribas Hong Kong Branch	3,528,441 (L)	0.14	31/05/2018
9	BNP Paribas Securities Services	16,791,159 (L)	0.66	01/06/2018
10	BNP Paribas Securities Services - Frankfurt Banking Services	1,749,877 (L)	0.07	31/05/2018
11	BNP Paribas Securities Services - Paris	51,654 (L)	0.00	31/05/2018
12	BNP Paribas Securities Services Luxembourg	1,165,740 (L)	0.05	31/05/2018
13	BNP Paribas Securities Services Sydney	1,121,842 (L)	0.04	01/06/2018
14	BOCOM International Securities Ltd	482,940 (L)	0.02	31/05/2018
15	Bright Smart Securities International (H.K.) Limited	1,979,631 (L)	0.08	31/05/2018
16	Brown Brothers Harriman & Co.	45,467,087 (L)	1.80	21/06/2018
17	Caceis Bank Luxembourg	569,358 (L)	0.02	08/06/2018
18	Caceis Bank Paris	324,161 (L)	0.01	08/06/2018
19	Central Wealth Securities Investment Limited	165,000 (L)	0.01	31/05/2018
20	Chelsea Securities Limited	15,200,000 (L)	0.60	31/05/2018
21	China CITIC Bank International Limited	3,723,441 (L)	0.15	31/05/2018
22	Chiyu Banking Corporation Ltd	2,724,697 (L)	0.11	31/05/2018
23	Chong Hing Bank Limited	1,290,348 (L)	0.05	01/06/2018
24	Chong Hing Securities Limited	4,186,389 (L)	0.17	31/05/2018
25	Chow Sang Sang Securities Ltd	292,376 (L)	0.01	31/05/2018
26	Cinda International Securities Limited	186,087 (L)	0.01	31/05/2018
27	Citibank (Hong Kong) Limited	4,744,149 (L)	0.19	31/05/2018
28	Citibank N.A.	106,665,632 (L)	4.22	31/05/2018
29	Citibank N.A. Singapore	6,396,398 (L)	0.25	31/05/2018
30	Citibank, N.A. London Branch	26,659,793 (L)	1.06	01/06/2018
31	CITIC Securities Brokerage (HK) Limited	546,815 (L)	0.02	31/05/2018
32	Clearstream International S.A.	1,456,292 (L)	0.06	31/05/2018
33	CLSA Limited	22,266,260 (L)	0.88	31/05/2018
34	Corporate Brokers Limited	100,692 (L)	0.00	31/05/2018
35	Credit Suisse AG	3,437,643 (L)	0.14	31/05/2018
36	Credit Suisse AG, Hong Kong Branch	4,131,635 (L)	0.16	31/05/2018
37	Credit Suisse Securities (Europe) Limited	78,500 (L)	0.00	31/05/2018
38	Credit Suisse Securities (Hong Kong) Limited	1,566,442 (L)	0.06	31/05/2018
39	Credit Suisse Securities (USA) LLC	811,621 (L)	0.03	31/05/2018
40	CS Wealth Securities Limited	219,000 (L)	0.01	31/05/2018

(L): Long Position

(S): Short Position

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41	Danske Bank	2,406,106 (L)	0.10	31/05/2018
42	DBS Bank Ltd	74,593 (L)	0.00	31/05/2018
43	Deutsche Bank AG Frankfurt	135,000 (L)	0.01	31/05/2018
44	Deutsche Bank AG, Hong Kong Branch	13,967,515 (L)	0.55	31/05/2018
45	Deutsche Bank AG, London Branch	3,788,026 (L)	0.15	31/05/2018
46	Deutsche Bank AG, London Branch - Prime Brokerage	1,657,600 (L)	0.07	31/05/2018
47	Deutsche Securities Asia Limited	1,197,092 (L)	0.05	31/05/2018
48	East Asia Securities Company Limited	7,995,991 (L)	0.32	31/05/2018
49	Euroclear Bank S.A./N.V.	70,261 (L)	0.00	01/06/2018
50	FB Securities (Hong Kong) Limited	1,103,996 (L)	0.04	31/05/2018
51	First Shanghai Securities Limited	100,000 (L)	0.00	31/05/2018
52	Fubon Bank (Hong Kong) Limited	1,103,996 (L)	0.04	31/05/2018
53	Guotai Junan Securities (Hong Kong) Limited	165,940 (L)	0.01	31/05/2018
54	Hang Seng Bank Ltd and Hang Seng Securities Ltd	90,431,564 (L)	3.58	31/05/2018
55	HSBC Bank Plc	8,981,449 (L)	0.36	01/06/2018
56	HSBC Broking Securities (Asia) Limited	14,915,796 (L)	0.59	31/05/2018
57	HSBC Fund Services Clients Accounts	65,717,382 (L)	2.60	31/05/2018
58	HSBC Securities Brokers (Asia) Limited	2,756,724 (L)	0.11	31/05/2018
59	I-Access Investors Limited	178,345 (L)	0.01	04/06/2018
60	ICBC (Asia) Securities Limited	3,286,114 (L)	0.13	31/05/2018
61	ICBC International Holdings Limited	185,500 (L)	0.01	31/05/2018
62	Industrial and Commercial Bank of China (Asia) Limited	702,417 (L)	0.03	31/05/2018
63	JPMorgan Chase & Co.	1,838,000 (L)	0.07	01/06/2018
64	JPMorgan Chase Bank, N.A.	105,202,903 (L)	4.16	31/05/2018
65	JPMorgan Chase Bank, National Association	97,981,579 (L)	3.88	31/05/2018
66	KBC Bank NV	269,292 (L)	0.01	31/05/2018
67	Lippo Securities Limited	502,020 (L)	0.02	31/05/2018
68	Luen Fat Securities Company Limited	327,223 (L)	0.01	31/05/2018
69	Macquarie Bank Limited	2,579,724 (L)	0.10	31/05/2018
70	Mellon Financial Corporation	18,460,131 (L)	0.73	31/05/2018
71	Merrill Lynch International	8,826,424 (L)	0.35	31/05/2018
		2,716,500 (S)	0.11	31/05/2018
72	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	3,707,864 (L)	0.15	31/05/2018
		5,407,450 (S)	0.21	31/05/2018
73	Morgan Stanley Hong Kong Securities Limited	1,997,114 (L)	0.08	31/05/2018
74	Morgan Stanley Smith Barney Inc.	179,387 (L)	0.01	31/05/2018
75	Nanyang Commercial Bank Limited	5,034,574 (L)	0.20	31/05/2018
76	National Nominees Limited	1,691,550 (L)	0.07	01/06/2018
77	Northern Trust	52,989,478 (L)	2.10	01/06/2018
78	OCBC Wing Hang Bank Limited Hong Kong	7,041,543 (L)	0.28	31/05/2018
79	OCBC Wing Hang Bank Limited Macau	407,309 (L)	0.02	31/05/2018
80	Pictet & Cie (Europe) SA	9,534,000 (L)	0.38	05/06/2018

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81	Public Bank (Hong Kong) Limited	572,992 (L)	0.02	31/05/2018
82	Public Financial Securities Limited	451,162 (L)	0.02	31/05/2018
83	Raffles Nominees Pte Limited	2,649,413 (L)	0.10	01/06/2018
84	RBC Investment Services (Asia) Limited	160,000 (L)	0.01	31/05/2018
85	RBC Investor and Treasury Services Bank S.A	2,952,155 (L)	0.12	04/06/2018
86	RBC Investor Services Trust	6,977,946 (L)	0.28	31/05/2018
87	SCB Wealth Management Hong Kong	770,000 (L)	0.03	31/05/2018
88	SEB	1,958,673 (L)	0.08	31/05/2018
89	SG Securities (HK) Ltd	4,481,871 (L)	0.18	31/05/2018
90	Siu On Securities Ltd	2,177,220 (L)	0.09	31/05/2018
91	SIX Securities Services	10,781,071 (L)	0.43	31/05/2018
92	Societe Generale Securities Services S.p.A.	161,844 (L)	0.01	31/05/2018
93	Standard Chartered Bank (Hong Kong) Limited	256,583,594 (L)	10.16	31/05/2018
94	State Street Bank and Trust Company	220,973,809 (L)	8.75	31/05/2018
95	Sun Hung Kai Investment Services Limited	2,796,064 (L)	0.11	31/05/2018
96	Taiping Securities (HK) Co. Limited	435,741 (L)	0.02	31/05/2018
97	Tak Fung Shares Investment Company Limited	142,768 (L)	0.01	31/05/2018
98	The Bank of East Asia, Limited	17,176,971 (L)	0.68	31/05/2018
99	The Bank of New York Mellon Corporation	97,671,493 (L)	3.87	01/06/2018
100	The Hongkong and Shanghai Banking Corporation Limited	437,961,766 (L)	17.34	31/05/2018
101	The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch - Private Banking Division	4,548,082 (L)	0.18	31/05/2018
102	The Hongkong and Shanghai Banking Corporation Limited, Private bank division	35,844,639 (L)	1.42	31/05/2018
103	The Nomura Trust and Banking Co., Ltd.	493,518 (L)	0.02	31/05/2018
104	Tsun Chi Yuen Sec. Co. Ltd.	313,056 (L)	0.01	31/05/2018
105	UBS AG Hong Kong Branch	2,927,727 (L)	0.12	31/05/2018
106	UBS AG London Branch	3,508,000 (L)	0.14	31/05/2018
107	UBS Securities Hong Kong Ltd	23,266,563 (L)	0.92	31/05/2018
108	UBS Switzerland AG	4,905,350 (L)	0.19	30/05/2018
109	UOB Kay Hian (Hong Kong) Limited	2,361,222 (L)	0.09	31/05/2018
110	Wing Lung Bank Limited	7,931,779 (L)	0.31	31/05/2018

(L): Long Position

(S): Short Position