

CLP HOLDINGS LIMITED

**Shareholders Disclosed Under Section 329 of the SFO
Summary as at 29 December 2017**

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	299,880 (L)	0.01	29/12/2017
2	Bank of China (Hong Kong) Limited	52,390,998 (L)	2.07	29/12/2017
3	Bank of Communications Co., Ltd. Hong Kong Branch	5,111,727 (L)	0.20	29/12/2017
4	Banque Pictet & Cie SA	1,562,733 (L)	0.06	04/01/2018
5	Barclays Capital Securities Limited	2,313,149 (L)	0.09	29/12/2017
6	BNP Paribas Hong Kong Branch	5,634,579 (L)	0.22	04/01/2018
7	BNP Paribas Securities Services	18,523,050 (L)	0.73	02/01/2018
8	BNP Paribas Securities Services Luxembourg	1,584,000 (L)	0.06	29/12/2017
9	BNP Paribas Securities Services Sydney	1,360,297 (L)	0.05	02/01/2018
10	BOCOM International Securities Ltd	537,140 (L)	0.02	29/12/2017
11	Bright Smart Securities International (H.K.) Limited	2,651,602 (L)	0.10	29/12/2017
12	Brown Brothers Harriman & Co.	45,006,960 (L)	1.78	03/01/2018
13	Caceis Bank Luxembourg	295,000 (L)	0.01	02/01/2018
14	Caceis Bank Paris	664,561 (L)	0.03	02/01/2018
15	Celestial Securities Limited	729,782 (L)	0.03	29/12/2017
16	Central Wealth Securities Investment Limited	178,978 (L)	0.01	29/12/2017
17	Chelsea Securities Limited	15,200,000 (L)	0.60	29/12/2017
18	China CITIC Bank International Limited	3,801,410 (L)	0.15	29/12/2017
19	China Construction Bank (Asia) Corporation Limited	5,228,337 (L)	0.21	29/12/2017
20	Chiyu Banking Corporation Ltd	2,614,610 (L)	0.10	29/12/2017
21	Chong Hing Bank Limited	1,290,348 (L)	0.05	29/12/2017
22	Chong Hing Securities Limited	4,617,148 (L)	0.18	29/12/2017
23	Chow Sang Sang Securities Ltd	318,031 (L)	0.01	29/12/2017
24	Cinda International Securities Limited	124,587 (L)	0.00	29/12/2017
25	Citibank (Hong Kong) Limited	4,413,972 (L)	0.17	29/12/2017
26	Citibank N.A.	143,831,067 (L)	5.69	29/12/2017
27	Citibank, N.A. London Branch	24,681,099 (L)	0.98	29/12/2017
28	Citibank N.A. Singapore	6,123,651 (L)	0.24	29/12/2017
29	CITIC Securities Brokerage (HK) Limited	591,095 (L)	0.02	29/12/2017
30	Citigroup Global Markets Inc	741,999 (L)	0.03	29/12/2017
31	Clearstream International S.A.	1,348,251 (L)	0.05	29/12/2017
32	CLSA Limited	22,201,340 (L)	0.88	29/12/2017
33	Convoy Investment Services Limited	273,450 (L)	0.01	29/12/2017
34	Corporate Brokers Limited	114,192 (L)	0.00	29/12/2017
35	Credit Suisse AG	2,666,563 (L)	0.11	29/12/2017
36	Credit Suisse AG, Hong Kong Branch	3,918,379 (L)	0.16	29/12/2017
37	Credit Suisse Securities (Europe) Limited	101,928 (L)	0.00	29/12/2017
38	Credit Suisse Securities (Hong Kong) Limited	1,472,785 (L)	0.06	29/12/2017
39	Credit Suisse Securities (USA) LLC	297,377 (L)	0.01	29/12/2017
40	CS Wealth Securities Limited	206,500 (L)	0.01	29/12/2017

(L): Long Position

(S): Short Position

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41	Danske Bank	2,703,824 (L)	0.11	29/12/2017
42	DBS Bank Ltd	82,093 (L)	0.00	29/12/2017
43	Deutsche Bank AG, Hong Kong Branch	14,479,671 (L)	0.57	29/12/2017
44	Deutsche Bank AG, London Branch - Prime Brokerage	1,219,000 (L)	0.05	29/12/2017
45	Deutsche Bank AG, London Branch	5,521,740 (L)	0.22	29/12/2017
46	Deutsche Bank AG, Singapore Branch Private Wealth Management	579,598 (L)	0.02	29/12/2017
47	Deutsche Securities Asia Limited	1,170,850 (L)	0.05	29/12/2017
48	DZ Privatbank S.A.	970,500 (L)	0.04	29/12/2017
49	East Asia Securities Company Limited	7,910,228 (L)	0.31	29/12/2017
50	Emperor Securities Limited	907,265 (L)	0.04	29/12/2017
51	Euroclear Bank S.A./N.V.	115,886 (L)	0.00	02/01/2018
52	FB Securities (Hong Kong) Limited	1,106,996 (L)	0.04	29/12/2017
53	First Shanghai Securities Limited	100,000 (L)	0.00	29/12/2017
54	Fubon Bank (Hong Kong) Limited	1,106,996 (L)	0.04	29/12/2017
55	Glory Sky Global Markets Limited	111,000 (L)	0.00	29/12/2017
56	Haitong International Securities Co Limited	231,000 (L)	0.01	29/12/2017
57	HSBC Bank Plc	9,477,067 (L)	0.38	03/01/2018
58	HSBC Broking Securities (Asia) Limited	15,150,773 (L)	0.60	29/12/2017
59	HSBC Fund Services Client Accounts	62,826,622 (L)	2.49	29/12/2017
60	HSBC Securities Brokers (Asia) Limited	2,560,724 (L)	0.10	29/12/2017
61	I-Access Investors Limited	183,549 (L)	0.01	02/01/2018
62	ICBC International Securities Ltd	185,500 (L)	0.01	29/12/2017
63	JPMorgan Chase & Co.	1,223,810 (L)	0.05	28/12/2017
64	JPMorgan Chase Bank, N.A.	103,774,645 (L)	4.11	29/12/2017
65	JPMorgan Chase Bank, National Association	97,463,196 (L)	3.86	29/12/2017
66	KAS Bank N.V.	1,067,838 (L)	0.04	29/12/2017
67	KBC Bank NV	339,292 (L)	0.01	29/12/2017
68	KGI Asia Limited	937,372 (L)	0.04	29/12/2017
69	Lei Shing Hong Securities Limited	5,876,480 (L)	0.23	29/12/2017
70	Macquarie Bank Limited	2,029,724 (L)	0.08	29/12/2017
71	Mellon Financial Corporation	20,036,701 (L)	0.79	02/01/2018
72	Merrill Lynch International	7,505,595 (L)	0.30	29/12/2017
		3,085,492 (S)	0.12	29/12/2017
73	Mizuho Trust & Banking (Luxembourg) S.A.	469,700 (L)	0.02	03/01/2018
74	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	2,972,425 (L)	0.12	29/12/2017
		2,676,845 (S)	0.11	29/12/2017
75	Morgan Stanley Hong Kong Securities Limited	1,240,688 (L)	0.05	29/12/2017
76	Nanyang Commercial Bank Limited	4,817,868 (L)	0.19	29/12/2017
77	National Nominees Limited	1,685,000 (L)	0.07	29/12/2017
78	Northern Trust	52,708,067 (L)	2.09	10/01/2018
79	OCBC Wing Hang Bank Limited Hong Kong	7,458,334 (L)	0.30	29/12/2017
80	OCBC Wing Hang Bank Limited Macau	398,643 (L)	0.02	29/12/2017

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81	Oceanwide Securities Company Limited	202,320 (L)	0.01	29/12/2017
82	Pacific Foundation Securities Limited	167,366 (L)	0.01	29/12/2017
83	Pershing LLC	801,420 (L)	0.03	18/01/2018
84	Pictet & Cie (Europe) SA	6,599,000 (L)	0.26	13/01/2018
85	Po Kay Securities & Shares Company Limited	160,100 (L)	0.01	29/12/2017
86	Prime Securities Limited	148,184 (L)	0.01	29/12/2017
87	Public Bank (Hong Kong) Limited	121,830 (L)	0.00	29/12/2017
88	Public Financial Securities Limited	456,162 (L)	0.02	29/12/2017
89	Rabobank London Branch	363,954 (L)	0.01	29/12/2017
90	Raffles Nominees Pte Limited	2,520,334 (L)	0.10	16/01/2018
91	RBC Investment Services (Asia) Limited	130,000 (L)	0.01	29/12/2017
92	RBC Investor and Treasury Services Bank S.A.	2,991,471 (L)	0.12	23/01/2018
93	RBC Investor Services Trust	6,768,027 (L)	0.27	29/12/2017
94	SCB Wealth Management Hong Kong	421,000 (L)	0.02	29/12/2017
95	SEB	916,301 (L)	0.04	29/12/2017
96	SG Securities (HK) Limited	4,845,587 (L)	0.19	29/12/2017
97	Shanghai Commercial Bank Limited	15,728,908 (L)	0.62	29/12/2017
98	Siu On Securities Ltd	1,150,400 (L)	0.05	29/12/2017
99	SIX Securities Services	10,813,835 (L)	0.43	29/12/2017
100	Societe Generale Securities Services	222,891 (L)	0.01	03/01/2018
101	Societe Generale Securities Services S.p.A.	137,344 (L)	0.01	29/12/2017
102	Solid King Securities Limited	134,665 (L)	0.01	29/12/2017
103	Standard Chartered Bank (Hong Kong) Limited	261,557,340 (L)	10.35	29/12/2017
104	State Street Bank and Trust Company	223,488,087 (L)	8.85	29/12/2017
105	Sumitomo Mitsui Trust Bank (U.S.A.) Limited	4,247,970 (L)	0.17	15/01/2018
106	Tai Tak Securities (Asia) Ltd.	204,000 (L)	0.01	29/12/2017
107	Tak Fung Shares Inv. Co Ltd.	141,268 (L)	0.01	29/12/2017
108	Telecom Digital Securities Limited	111,633 (L)	0.00	29/12/2017
109	The Bank of East Asia, Limited	17,942,918 (L)	0.71	29/12/2017
110	The Bank of New York Mellon Corporation	102,025,311 (L)	4.04	04/01/2018
111	The Hongkong and Shanghai Banking Corporation Limited	431,613,863 (L)	17.08	29/12/2017
112	The Hongkong and Shanghai Banking Corporation Limited, Private bank division	35,230,662 (L)	1.39	29/12/2017
113	The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch - Private Banking Division	4,548,082 (L)	0.18	29/12/2017
114	The Nomura Trust and Banking Co., Ltd.	507,018 (L)	0.02	29/12/2017
115	Truth Securities Limited	208,100 (L)	0.01	29/12/2017
116	UBS (Luxembourg) S.A.	1,710,500 (L)	0.07	03/01/2018
117	UBS AG Hong Kong Branch	10,064,466 (L)	0.40	29/12/2017
118	UBS AG London Branch	4,123,000 (L)	0.16	03/01/2018
119	UBS AG Singapore Branch	1,135,390 (L)	0.04	29/12/2017
120	UBS Securities Hong Kong Limited	22,804,868 (L)	0.90	29/12/2017

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121	UBS Switzerland AG	4,862,311 (L)	0.19	04/01/2018
122	UOB Kay Hian (Hong Kong) Limited	2,255,022 (L)	0.09	29/12/2017
123	Yuanta Securities (Hong Kong) Company Limited	217,103 (L)	0.01	29/12/2017
124	Yue Xiu Securities Co Ltd	125,517 (L)	0.00	29/12/2017

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