

CLP HOLDINGS LIMITED

Shareholders Disclosed Under Section 329 of the SFO Summary as at 31 Dec 2013

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABN AMRO Bank N.V. Hong Kong Branch	1,706,709	0.07	31/12/2013
2	ABN AMRO Clearing Hong Kong Ltd	1,269,650	0.05	31/12/2013
3	ABN AMRO Global Custody N.V.	48,500	0.00	31/12/2013
4	Bank Morgan Stanley AG	865,835	0.03	31/12/2013
5	Bank of America Merrill Lynch	4,205,895	0.17	31/12/2013
6	Bank of China (Hong Kong) Limited	63,229,981	2.50	31/12/2013
7	Bank of Singapore Limited	1,055,961	0.04	31/12/2013
8	Banque Pictet & Cie SA	1,638,100	0.06	31/12/2013
9	Barclays Capital Securities Limited	1,925,601	0.08	31/12/2013
10	BNP Paribas Securities Services	13,338,052	0.53	31/12/2013
11	BNP Paribas Securities Services Luxembourg	1,202,000	0.05	31/12/2013
12	BNP Paribas Wealth Management Hong Kong Branch	4,835,882	0.19	31/12/2013
13	BOCI Securities Limited	6,412,546	0.25	31/12/2013
14	Brown Brothers Harriman & Co.	33,575,890	1.33	08/01/2014
15	BSI SA	274,210	0.01	31/12/2013
16	CACEIS Bank Deutschland GmbH	2,022,212	0.08	31/12/2013
17	Caceis Bank Luxembourg	863,548	0.03	31/12/2013
18	Caceis Bank Paris	103,569	0.00	31/12/2013
19	Chelsea Sec. Ltd	15,312,000	0.61	31/12/2013
20	Chief Securities Ltd	712,969	0.03	31/12/2013
21	China Construction Bank (Asia) Corporation Limited	3,753,793	0.15	31/12/2013
22	Chong Hing Bank Limited	1,413,232	0.06	31/12/2013
23	Chong Hing Securities Limited	6,075,095	0.24	31/12/2013
24	Chow Sang Sang Securities Ltd	440,853	0.02	31/12/2013
25	Christfund Securities Limited	129,006	0.01	31/12/2013
26	Citibank (Hong Kong) Limited	4,352,537	0.17	31/12/2013
27	Citibank N.A.	94,816,815	3.75	31/12/2013
28	Citibank N.A. China	572,394	0.02	31/12/2013
29	Citibank N.A. Singapore	6,751,045	0.27	31/12/2013
30	Citibank, N.A. London Branch	22,514,639	0.89	31/12/2013
31	CITIC Securities International Company Limited	5,503,505	0.22	31/12/2013
32	Clearstream International S.A.	3,596,983	0.14	31/12/2013
33	CLSA Limited	22,249,083	0.88	31/12/2013
34	Credit Suisse AG	3,072,133	0.12	31/12/2013
35	Credit Suisse AG Hong Kong Branch	3,817,021	0.15	31/12/2013
36	Credit Suisse Client Nominees (UK) Limited	833,500	0.03	31/12/2013
37	Credit Suisse Securities (Europe) Limited	373,618	0.01	31/12/2013
38	Credit Suisse Securities (Hong Kong) Limited	122,471	0.00	31/12/2013
39	Dah Sing Bank Limited	4,677,457	0.19	31/12/2013
40	Dah Sing Securities Limited	3,152,498	0.12	31/12/2013

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41	Danske Bank	2,779,371	0.11	31/12/2013
42	Deutsche Securities Asia Limited	150,040	0.01	31/12/2013
43	EFG Bank AG, Hong Kong Branch	445,500	0.02	31/12/2013
44	Erste Group Bank AG	177,000	0.01	31/12/2013
45	FB Securities (Hong Kong) Limited	1,398,134	0.06	31/12/2013
46	Fideuram Bank (Luxembourg) S.A.	363,800	0.01	31/12/2013
47	Fubon Bank (Hong Kong) Limited	1,398,134	0.06	31/12/2013
48	Grand Investment (Securities) Limited	106,500	0.00	31/12/2013
49	Guotai Junan Securities (Hong Kong) Limited	552,500	0.02	31/12/2013
50	Haitong International Securities Co Ltd	482,844	0.02	31/12/2013
51	Hang Seng Bank Ltd and Hang Seng Securities Ltd	99,310,985	3.93	31/12/2013
52	Hang Tai Securities Ltd.	101,800	0.00	31/12/2013
53	Harmony Securities Ltd	240,659	0.01	31/12/2013
54	Hong Kong Securities (International) Co. Ltd.	159,000	0.01	31/12/2013
55	HSBC Bank Plc	8,174,545	0.32	31/12/2013
56	HSBC Broking Securities (Asia) Limited	34,079,988	1.35	31/12/2013
57	HSBC Fund Services Clients Accounts	61,420,155	2.43	31/12/2013
58	HSBC Securities Brokers (Asia) Limited	1,117,479	0.04	31/12/2013
59	HSBC Trustee (Hong Kong) Limited	63,120	0.00	31/12/2013
60	Istar International Securities Co. Limited	124,048	0.00	31/12/2013
61	JPMorgan Chase Bank, N.A.	125,079,671	4.95	03/01/2014
62	JPMorgan Chase Bank, National Association	139,157,411	5.51	31/12/2013
63	KAS BANK N.V.	698,211	0.03	31/12/2013
64	KBC Bank NV	1,377,770	0.05	31/12/2013
65	KGI Securities (Hong Kong) Ltd	848,063	0.03	31/12/2013
66	Macquarie Capital Securities Ltd	148,300	0.01	31/12/2013
67	Mellon Financial Corporation	49,895,787	1.97	02/01/2014
68	Merrill Lynch Pierce Fenner and Smith	798,506	0.03	31/12/2013
69	Mitsubishi UFJ Morgan Stanley Securities Co., Ltd	20,200	0.00	31/12/2013
70	Mizuho Trust & Banking (Luxembourg) S.A.	107,500	0.00	31/12/2013
71	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	1,613,295	0.06	31/12/2013
72	Morgan Stanley Hong Kong Securities Limited	1,449,225	0.06	31/12/2013
73	Nanyang Commercial Bank Limited	8,123,386	0.32	31/12/2013
74	National Nominees Limited	6,186,100	0.24	02/01/2014
75	Nordea Bank Danmark A/S	590,028	0.02	30/12/2013
76	Northern Trust	44,006,220	1.74	02/01/2014
77	Phillip Securities (Hong Kong) Limited	1,705,199	0.07	31/12/2013
78	Pictet & Cie (Europe) SA	659,000	0.03	08/01/2014
79	Ping An Securities Limited	121,496	0.00	31/12/2013
80	Po Kay Sec. & Shares Co. Ltd	245,833	0.01	31/12/2013

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81	Public Bank (Hong Kong) Limited	823,402	0.03	31/12/2013
82	Public Financial Securities Limited	718,162	0.03	31/12/2013
83	Quam Securities Company Limited	172,480	0.01	31/12/2013
84	Raffles Nominees Pte Limited	2,717,534	0.11	08/01/2014
85	RBC Investor Services Bank S.A.	2,327,157	0.09	31/12/2013
86	RBC Investor Services Trust	15,492,087	0.61	31/12/2013
87	RSC Raiffeisen Service Center GmbH	1,124,800	0.04	31/12/2013
88	Schweizerische Nationalbank	13,772,500	0.55	31/12/2013
89	SEB	1,515,239	0.06	31/12/2013
90	SG Securities (HK) Ltd	3,121,646	0.12	31/12/2013
91	Shanghai Commercial Bank Limited	18,396,328	0.73	31/12/2013
92	Siu On Securities Ltd	2,470,982	0.10	31/12/2013
93	SIX Securities Services	14,686,740	0.58	31/12/2013
94	Societe Generale	53,737	0.00	31/12/2013
95	Societe Generale Securities Services S.p.A.	7,000	0.00	31/12/2013
96	Standard Chartered Bank (Hong Kong) Limited	188,598,906	7.46	31/12/2013
97	Standard Chartered Securities (Hong Kong) Limited	1,668,690	0.07	31/12/2013
98	State Street Bank and Trust Company	123,804,173	4.90	31/12/2013
99	Sumitomo Mitsui Trust Bank USA LTD	3,646,975	0.14	31/12/2013
100	Sun Hung Kai Investment Services Limited	4,690,986	0.19	31/12/2013
101	T & F Equities Limited	291,500	0.01	31/12/2013
102	Tai Tak Securities (Asia) Ltd.	202,780	0.01	31/12/2013
103	The Bank of East Asia, Limited	13,504,600	0.53	31/12/2013
104	The Bank of New York Mellon Corporation	35,568,673	1.41	31/12/2013
105	The Hongkong and Shanghai Banking Corporation Limited	414,591,019	16.41	31/12/2013
106	The Hongkong and Shanghai Banking Corporation Limited, Private bank division, Singapore	5,804,508	0.23	31/12/2013
107	The Master Trust Bank of Japan	21,633,890	0.86	31/12/2013
108	The Royal Bank of Scotland plc	756,466	0.03	31/12/2013
109	Troopers Securities Limited	23,800	0.00	31/12/2013
110	Tsun Chi Yuen Sec. Co. Ltd.	340,303	0.01	31/12/2013
111	Tung Shing Securities (Brokers) Limited	1,675,855	0.07	31/12/2013
112	UBS (Luxembourg) S.A.	647,500	0.03	31/12/2013
113	UBS AG Hong Kong Branch	17,192,188	0.68	31/12/2013
114	UBS AG London Branch	15,500	0.00	02/01/2014
115	UBS AG Postfach	3,454,391	0.14	07/01/2014
116	Unicorn Securities Co. Ltd	244,644	0.01	31/12/2013
117	Win Wong Securities Ltd.	104,039	0.00	31/12/2013
118	Wing Hang Shares Brokerage Co. Ltd.	864,078	0.03	31/12/2013
119	Wing On Cheong Securities Company Limited	232,195	0.01	31/12/2013
120	Wocom Securities Limited	1,080,720	0.04	31/12/2013

