

CLP HOLDINGS LIMITED

**Shareholders Disclosed Under Section 329 of the SFO
Summary as at 30 Dec 2016**

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	296,880	0.01	30/12/2016
2	ABN AMRO BANK N.V.	12,500	0.00	05/01/2017
3	ABN AMRO BANK N.V. Hong Kong Branch	1,088,324	0.04	30/12/2016
4	Bank Consortium Trust Company Limited	79,500	0.00	30/12/2016
5	Bank of China (Hong Kong) Limited	50,040,347	1.98	30/12/2016
6	Banque Pictet & Cie SA	1,851,761	0.07	04/01/2017
7	Barclays Capital Securities Limited	3,791,864	0.15	30/12/2016
8	BNP Paribas Hong Kong Branch	3,024,528	0.12	30/12/2016
9	BNP Paribas Securities Services	17,527,784	0.69	04/01/2017
10	BNP Paribas Securities Services - Frankfurt	3,498,716	0.14	30/12/2016
11	BOCI Securities Limited	5,388,123	0.21	30/12/2016
12	BOCOM International Securities Limited	800,640	0.03	30/12/2016
13	Brown Brothers Harriman & Co.	42,046,251	1.66	03/01/2017
14	Caceis Bank Luxembourg	545,536	0.02	02/01/2017
15	Caceis Bank Paris	600,669	0.02	02/01/2017
16	Chelsea Securities Limited	15,246,000	0.60	30/12/2016
17	China Construction Bank (Asia) Corporation Limited	5,068,233	0.20	30/12/2016
18	Chiyu Banking Corporation Ltd	2,707,805	0.11	30/12/2016
19	Chong Hing Bank Limited	998,665	0.04	30/12/2016
20	Chong Hing Securities Limited	4,680,956	0.19	30/12/2016
21	Chow Sang Sang Securities Ltd	368,531	0.01	30/12/2016
22	Cinda International Securities Limited	160,173	0.01	30/12/2016
23	Citibank N.A.	101,669,608	4.02	30/12/2016
24	Citibank, N.A. London Branch	27,197,640	1.08	03/01/2017
25	CITIC Securities Brokerage (HK) Limited	4,237,222	0.17	30/12/2016
26	Citigroup Global Markets Inc	581,262	0.02	30/12/2016
27	Clearstream International S.A.	2,258,327	0.09	30/12/2016
28	CLSA Limited	22,202,583	0.88	30/12/2016
29	Corporate Brokers Limited	118,692	0.00	30/12/2016
30	Credit Suisse AG	2,735,302	0.11	30/12/2016
31	CS Wealth Securities Limited	213,500	0.01	30/12/2016
32	Danske Bank	2,964,936	0.12	30/12/2016
33	DB Nominees (Singapore) Pte Ltd	35,000	0.00	30/12/2016
34	DBS Bank Ltd	262,593	0.01	09/01/2017
35	Deutsche Bank AG, Hong Kong Branch	20,609,194	0.82	30/12/2016
36	Deutsche Bank AG, London Branch - Prime Brokerage	1,136,500	0.04	30/12/2016
37	Deutsche Bank AG, London Branch	1,908,153	0.08	30/12/2016
38	DZ Privatbank S.A.	1,040,500	0.04	30/12/2016
39	East Asia Securities Company Limited	8,266,546	0.33	30/12/2016
40	EFG Bank AG, Hong Kong Branch	368,414	0.01	30/12/2016

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41	Erste Group Bank AG	87,720	0.00	30/12/2016
42	Essence International Financial Holdings Ltd	104,200	0.00	30/12/2016
43	Euroclear Bank S.A./N.V	186,586	0.01	03/01/2017
44	FB Securities (Hong Kong) Limited	1,077,296	0.04	30/12/2016
45	Fideuram Bank (Luxembourg) S.A.	426,700	0.02	30/12/2016
46	First Shanghai Securities Limited	325,409	0.01	30/12/2016
47	Fubon Bank (Hong Kong) Limited	1,077,296	0.04	30/12/2016
48	Hang Seng Bank Ltd and Hang Seng Securities Ltd	90,527,744	3.58	30/12/2016
49	HSBC Bank Plc	7,389,130	0.29	04/01/2017
50	HSBC Broking Securities (Asia) Limited	16,703,689	0.66	30/12/2016
51	HSBC - Fund Services Clients Accounts	58,588,220	2.32	30/12/2016
52	HSBC Securities Brokers (Asia) Limited	4,106,538	0.16	30/12/2016
53	I-Access Investors Limited	197,759	0.01	30/12/2016
54	J.P. Morgan Whitefriars Inc.	2,058,553	0.08	30/12/2016
55	JPMorgan Chase & Co.	438,310	0.02	30/12/2016
56	JPMorgan Chase Bank, N.A.	112,518,430	4.45	30/12/2016
57	JPMorgan Chase Bank, National Association	105,492,418	4.18	30/12/2016
58	Jyske Bank	1,500	0.00	30/12/2016
59	KBC Bank NV	461,792	0.02	30/12/2016
60	KGI Securities (Hong Kong) Ltd	888,077	0.04	30/12/2016
61	Lippo Securities Limited	548,420	0.02	30/12/2016
62	Macquarie Bank Limited	1,837,261	0.07	30/12/2016
63	Mellon Financial Corporation	21,366,418	0.85	30/12/2016
64	Merrill Lynch, Pierce, Fenner & Smith, Inc.	372,806	0.01	30/12/2016
65	Merrill Lynch International	7,260,245	0.29	30/12/2016
66	Mizuho Trust & Banking (Luxembourg) S.A.	554,200	0.02	03/01/2017
67	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	2,292,985	0.09	30/12/2016
68	Morgan Stanley Hong Kong Securities Limited	1,514,294	0.06	30/12/2016
69	Nanyang Commercial Bank Ltd	5,351,326	0.21	30/12/2016
70	National Nominees Limited	1,432,000	0.06	03/01/2017
71	Northern Trust	53,861,549	2.13	06/01/2017
72	OCBC Wing Hang Bank Limited Hong Kong	8,160,263	0.32	30/12/2016
73	OCBC Wing Hang Bank Limited Macau	425,305	0.02	30/12/2016
74	OCBC Wing Hang Shares Brokerage Co. Ltd.	209,216	0.01	30/12/2016
75	Pacific Foundation Securities Ltd	181,476	0.01	30/12/2016
76	Pershing LLC	947,218	0.04	10/01/2017
77	Phillip Securities (Hong Kong) Limited	977,725	0.04	30/12/2016
78	Quam Securities Company Limited	230,320	0.01	30/12/2016
79	Rabobank London Branch	200,000	0.01	30/12/2016
80	Raffles Nominees Pte Limited	2,311,636	0.09	13/01/2017

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81	RBC Investor Services Bank S.A.	1,917,894	0.08	04/01/2017
82	RBC Investor Services Trust	6,802,243	0.27	30/12/2016
83	RSC Raiffeisen Service Center GmbH	67,300	0.00	13/01/2017
84	SCB Wealth Management Hong Kong	812,500	0.03	30/12/2016
85	SEB	1,579,723	0.06	30/12/2016
86	SG Securities (HK) Ltd	4,974,888	0.20	30/12/2016
87	Shanghai Commercial Bank Limited	15,535,920	0.61	30/12/2016
88	Siu On Securities Ltd	1,270,400	0.05	30/12/2016
89	SIX Securities Services	12,472,335	0.49	30/12/2016
90	Skyway Securities Investment Limited	209,774	0.01	30/12/2016
91	Solid King Securities Limited	108,148	0.00	30/12/2016
92	Standard Chartered Bank (Hong Kong) Limited	234,839,859	9.30	30/12/2016
93	Standard Chartered Private Bank	4,302,988	0.17	30/12/2016
94	State Street Bank and Trust Company	194,284,101	7.69	30/12/2016
95	Sumitomo Mitsui Trust Bank (U.S.A.) Limited	4,600,470	0.18	19/01/2017
96	Sun Hung Kai Investment Services Limited	3,213,336	0.13	30/12/2016
97	Tai Tak Securities (Asia) Ltd.	194,000	0.01	30/12/2016
98	Taiping Securities (HK) Co. Limited	465,754	0.02	30/12/2016
99	Tak Fung Shares Inv Co Ltd	148,768	0.01	30/12/2016
100	Telecom Digital Securities Limited	144,633	0.01	30/12/2016
101	The Bank of East Asia, Limited	17,973,995	0.71	30/12/2016
102	The Bank of New York Mellon Corporation	113,417,593	4.49	03/01/2017
103	The Hongkong and Shanghai Banking Corporation Limited	438,860,834	17.37	30/12/2016
104	The Hongkong and Shanghai Banking Corporation Limited, Private bank division	35,328,535	1.40	30/12/2016
105	The Nomura Trust and Banking Co., Ltd.	525,937	0.02	30/12/2016
106	UBS (Luxembourg) S.A.	2,168,610	0.09	04/01/2017
107	UBS AG London Branch	345,500	0.01	05/01/2017
108	UBS Securities Hong Kong Ltd	23,555,102	0.93	30/12/2016
109	UBS Switzerland AG	4,262,811	0.17	10/01/2017
110	Win Wong Securities Ltd.	146,315	0.01	30/12/2016