

# CLP HOLDINGS LIMITED

## Shareholders Disclosed Under Section 329 of the SFO Summary as at 31 Dec 2014

| No. | Name   | No. of Shares Interested | % of Issued Share Capital | Position as at |
|-----|--|--------------------------|---------------------------|----------------|
| 1   | ABCI Securities Company Limited                    | 136,880                  | 0.01                      | 30-12-2014     |
| 2   | ABN AMRO Bank N.V. Hong Kong Branch                | 1,339,908                | 0.05                      | 31-12-2014     |
| 3   | ABN AMRO Clearing Hong Kong Ltd.                   | 382,000                  | 0.02                      | 31-12-2014     |
| 4   | Bank of China (Hong Kong) Limited                  | 54,128,459               | 2.14                      | 31-12-2014     |
| 5   | Bank of China Limited Macau Branch                 | 1,000,176                | 0.04                      | 31-12-2014     |
| 6   | Bank of Communications Co., Ltd. Hong Kong Branch  | 5,541,161                | 0.22                      | 31-12-2014     |
| 7   | Bank of Singapore Limited                          | 1,058,793                | 0.04                      | 31-12-2014     |
| 8   | Banque Cantonale Vaudoise                          | 156,500                  | 0.01                      | 29-01-2015     |
| 9   | Barclays Capital Securities Limited                | 1,622,788                | 0.06                      | 31-12-2014     |
| 10  | BNP Paribas Securities Services                    | 15,287,036               | 0.61                      | 31-12-2014     |
| 11  | BNP Paribas Wealth Management Hong Kong Branch     | 5,237,940                | 0.21                      | 31-12-2014     |
| 12  | BOCI Securities Limited                            | 5,231,995                | 0.21                      | 31-12-2014     |
| 13  | BOCOM International Securities Limited             | 729,604                  | 0.03                      | 31-12-2014     |
| 14  | Brown Brothers Harriman & Co.                      | 35,033,562               | 1.39                      | 8-01-2015      |
| 15  | BSI SA   | 205,000                  | 0.01                      | 31-12-2014     |
| 16  | CACEIS Bank Deutschland GmbH                       | 1,921,487                | 0.08                      | 31-12-2014     |
| 17  | Caceis Bank Paris                                  | 152,197                  | 0.01                      | 31-12-2014     |
| 18  | Chelsea Securities Limited                         | 15,290,000               | 0.61                      | 31-12-2014     |
| 19  | Chief Securities Limited                           | 541,997                  | 0.02                      | 31-12-2014     |
| 20  | China Construction Bank (Asia) Corporation Limited | 3,538,164                | 0.14                      | 31-12-2014     |
| 21  | Chong Hing Bank Limited                            | 1,091,165                | 0.04                      | 31-12-2014     |
| 22  | Chong Hing Securities Limited                      | 5,001,712                | 0.20                      | 31-12-2014     |
| 23  | Chow Sang Sang Securities Ltd                      | 347,031                  | 0.01                      | 31-12-2014     |
| 24  | CIMB Securities Ltd.                               | 108,468                  | 0.00                      | 31-12-2014     |
| 25  | Cinda International Securities Limited             | 110,673                  | 0.00                      | 31-12-2014     |
| 26  | Citibank (Hong Kong) Limited                       | 3,308,945                | 0.13                      | 31-12-2014     |
| 27  | Citibank N.A.                                      | 112,948,067              | 4.47                      | 31-12-2014     |
| 28  | Citibank N.A. Singapore                            | 4,308,655                | 0.17                      | 31-12-2014     |
| 29  | Citibank, N.A. London Branch                       | 47,043,105               | 1.86                      | 2-01-2015      |
| 30  | CITIC Securities International Company Limited     | 4,436,749                | 0.18                      | 31-12-2014     |
| 31  | Clearstream International S.A.                     | 2,370,714                | 0.09                      | 31-12-2014     |
| 32  | CLSA Limited                                       | 22,232,083               | 0.88                      | 31-12-2014     |
| 33  | Convoy Investment Services Ltd.                    | 362,450                  | 0.01                      | 31-12-2014     |
| 34  | Corporate Brokers Limited                          | 102,990                  | 0.00                      | 31-12-2014     |
| 35  | Coutts & Co Ltd, Hong Kong Branch                  | 704,306                  | 0.03                      | 31-12-2014     |
| 36  | Credit Suisse AG                                   | 3,336,330                | 0.13                      | 31-12-2014     |
| 37  | Credit Suisse AG Hong Kong Branch                  | 3,198,873                | 0.13                      | 31-12-2014     |
| 38  | Credit Suisse Client Nominees (UK) Limited         | 560,531                  | 0.02                      | 31-12-2014     |
| 39  | Credit Suisse International                        | 182,596                  | 0.01                      | 31-12-2014     |
| 40  | Credit Suisse Securities (Europe) Limited          | 3,153,796                | 0.12                      | 31-12-2014     |

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| 41  | Credit Suisse Securities (Hong Kong) Limited                       | 518,730                  | 0.02                      | 31-12-2014     |
| 42  | Credit Suisse Securities (USA) LLC                                 | 357,966                  | 0.01                      | 31-12-2014     |
| 43  | Dah Sing Bank, Limited   | 3,010,297                | 0.12                      | 31-12-2014     |
| 44  | Dah Sing Securities Limited  | 2,669,858                | 0.11                      | 31-12-2014     |
| 45  | Danske Bank  | 2,793,371                | 0.11                      | 31-12-2014     |
| 46  | DBS Vickers (Hong Kong) Ltd  | 3,980,820                | 0.16                      | 31-12-2014     |
| 47  | Deutsche Bank AG, Hong Kong Branch                                 | 15,653,598               | 0.62                      | 31-12-2014     |
| 48  | Deutsche Bank AG, London Branch                                    | 1,881,363                | 0.07                      | 31-12-2014     |
| 49  | Deutsche Bank AG, London Branch – Prime Brokerage                  | 630,000                  | 0.02                      | 31-12-2014     |
| 50  | Deutsche Securities Asia Limited                                   | 630,000                  | 0.02                      | 31-12-2014     |
| 51  | EFG Bank AG, Hong Kong Branch                                      | 513,500                  | 0.02                      | 31-12-2014     |
| 52  | Euroclear Bank S.A./N.V.   | 160,241                  | 0.01                      | 31-12-2014     |
| 53  | Fideuram Bank (Luxembourg) S.A.                                    | 412,500                  | 0.02                      | 31-12-2014     |
| 54  | Goldman Sachs Services Private Limited                             | 4,007,450                | 0.16                      | 31-12-2014     |
| 55  | Guotai Junan Securities (Hong Kong) Limited                        | 129,500                  | 0.01                      | 31-12-2014     |
| 56  | Hang Seng Bank Ltd and Hang Seng Securities Ltd                    | 90,929,639               | 3.60                      | 31-12-2014     |
| 57  | Harmony Securities Ltd   | 238,659                  | 0.01                      | 31-12-2014     |
| 58  | HSBC Bank Plc  | 8,075,067                | 0.32                      | 31-12-2014     |
| 59  | HSBC Fund Services Clients Accounts                                | 56,609,710               | 2.24                      | 31-12-2014     |
| 60  | HSBC Securities Brokers (Asia) Limited                             | 4,411,998                | 0.17                      | 31-12-2014     |
| 61  | I-Access Investors Limited   | 114,156                  | 0.00                      | 31-12-2014     |
| 62  | Istar International Securities Co. Limited                         | 107,282                  | 0.00                      | 31-12-2014     |
| 63  | J.P. Morgan International Bank Limited                             | 3,293,612                | 0.13                      | 31-12-2014     |
| 64  | JPMorgan Chase Bank, N.A.  | 125,248,788              | 4.96                      | 31-12-2014     |
| 65  | JPMorgan Chase Bank, National Association                          | 121,151,605              | 4.80                      | 31-12-2014     |
| 66  | KAS Bank N.V.  | 829,393                  | 0.03                      | 31-12-2014     |
| 67  | KBC Bank NV  | 1,092,608                | 0.04                      | 31-12-2014     |
| 68  | KGI Securities (Hong Kong) Ltd                                     | 996,467                  | 0.04                      | 31-12-2014     |
| 69  | Lippo Securities Limited   | 215,200                  | 0.01                      | 31-12-2014     |
| 70  | Luen Fat Securities Company Limited                                | 293,423                  | 0.01                      | 31-12-2014     |
| 71  | Mellon Financial Corporation                                       | 57,806,566               | 2.29                      | 2-01-2015      |
| 72  | Merrill Lynch International  | 4,784,999                | 0.19                      | 31-12-2014     |
| 73  | Merrill Lynch, Pierce, Fenner & Smith, Inc.                        | 484,342                  | 0.02                      | 31-12-2014     |
| 74  | Million Rich Sec. Ltd.   | 238,000                  | 0.01                      | 31-12-2014     |
| 75  | Mizuho Trust & Banking (Luxembourg) S.A.                           | 337,500                  | 0.01                      | 31-12-2014     |
| 76  | Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc | 2,745,515                | 0.11                      | 31-12-2014     |
| 77  | Morgan Stanley Hong Kong Securities Limited                        | 1,060,344                | 0.04                      | 31-12-2014     |
| 78  | National Nominees Limited  | 5,595,400                | 0.22                      | 7-01-2015      |
| 79  | Nomura Singapore Limited   | 100,550                  | 0.00                      | 31-12-2014     |
| 80  | Northern Trust   | 46,743,699               | 1.85                      | 31-12-2014     |

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| 81  | OCBC Wing Hang Bank Limited   | 7,571,605                | 0.30                      | 31-12-2014     |
| 82  | OCBC Wing Hang Bank Limited (Macau)   | 493,094                  | 0.02                      | 31-12-2014     |
| 83  | OCBC Wing Hang Shares Brokerage Co. Limited   | 126,455                  | 0.01                      | 31-12-2014     |
| 84  | Pershing LLC  | 1,027,384                | 0.04                      | 31-12-2014     |
| 85  | Phillip Securities (Hong Kong) Limited  | 1,333,124                | 0.05                      | 31-12-2014     |
| 86  | Pictet & Cie (Europe) SA  | 459,000                  | 0.02                      | 7-01-2015      |
| 87  | Po Kay Sec. & Shares Co. Ltd  | 237,833                  | 0.01                      | 31-12-2014     |
| 88  | Public Bank (Hong Kong) Limited   | 721,492                  | 0.03                      | 31-12-2014     |
| 89  | Public Financial Securities Limited   | 616,162                  | 0.02                      | 31-12-2014     |
| 90  | Raffles Nominees Pte Limited  | 2,884,734                | 0.11                      | 6-01-2015      |
| 91  | RBC Investor Services Bank S.A.   | 2,601,717                | 0.10                      | 31-12-2014     |
| 92  | RBC Investor Services Trust   | 16,263,168               | 0.64                      | 31-12-2014     |
| 93  | RSC Raiffeisen Service Center GmbH  | 237,800                  | 0.01                      | 31-12-2014     |
| 94  | SEB   | 1,596,420                | 0.06                      | 31-12-2014     |
| 95  | SG Securities (HK) Ltd  | 3,043,066                | 0.12                      | 31-12-2014     |
| 96  | Shanghai Commercial Bank Limited  | 16,912,902               | 0.67                      | 31-12-2014     |
| 97  | Siu On Securities Ltd   | 1,488,800                | 0.06                      | 31-12-2014     |
| 98  | SIX Securities Services   | 15,490,515               | 0.61                      | 31-12-2014     |
| 99  | Standard Chartered Bank (Hong Kong) Limited   | 224,069,269              | 8.87                      | 31-12-2014     |
| 100 | State Street Bank and Trust Company   | 165,046,340              | 6.53                      | 31-12-2014     |
| 101 | Stockwell Securities Limited  | 550,697                  | 0.02                      | 31-12-2014     |
| 102 | Sumitomo Mitsui Trust Bank (U.S.A.) Limited   | 3,454,513                | 0.14                      | 31-12-2014     |
| 103 | Sun Hung Kai Investment Services Limited  | 4,077,893                | 0.16                      | 31-12-2014     |
| 104 | Tai Tak Securities (Asia) Ltd   | 152,880                  | 0.01                      | 31-12-2014     |
| 105 | Taiping Securities (HK) Co. Limited   | 363,098                  | 0.01                      | 31-12-2014     |
| 106 | Tak Fung Shares Inv Co Ltd  | 132,268                  | 0.01                      | 31-12-2014     |
| 107 | The Hongkong and Shanghai Banking Corporation Limited                                   | 398,214,619              | 15.76                     | 31-12-2014     |
| 108 | The Hongkong and Shanghai Banking Corporation Limited, Private bank division            | 38,727,047               | 1.53                      | 31-12-2014     |
| 109 | The Hongkong and Shanghai Banking Corporation Limited, Private Bank division, Singapore | 4,722,958                | 0.19                      | 31-12-2014     |
| 110 | The Master Trust Bank of Japan  | 21,003,341               | 0.83                      | 31-12-2014     |
| 111 | The Nomura Trust and Banking Co., Ltd.  | 421,437                  | 0.02                      | 30-12-2014     |
| 112 | Tsun Chi Yuen Sec. Co. Ltd.   | 340,020                  | 0.01                      | 31-12-2014     |
| 113 | UBS (Luxembourg) S.A.   | 1,491,000                | 0.06                      | 7-01-2015      |
| 114 | UBS AG Hong Kong Branch   | 14,110,685               | 0.56                      | 31-12-2014     |
| 115 | UBS AG London Branch  | 547,500                  | 0.02                      | 8-01-2015      |
| 116 | UBS AG Singapore Branch   | 4,171,608                | 0.17                      | 31-12-2014     |
| 117 | UBS AG Zurich Branch  | 4,366,100                | 0.17                      | 2-01-2015      |
| 118 | UBS Securities Hong Kong Ltd  | 24,208,395               | 0.96                      | 31-12-2014     |
| 119 | Wing On Cheong Securities Company Limited   | 138,655                  | 0.01                      | 31-12-2014     |