

# CLP HOLDINGS LIMITED

## Shareholders Disclosed Under Section 329 of the SFO Summary as at 31 Dec 2015

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	292,380	0.01	31/12/2015
2	ABN AMRO BANK N.V. Hong Kong Branch	1,050,154	0.04	29/12/2015
3	Bank of China (Hong Kong) Limited	56,163,084	2.22	31/12/2015
4	Bank of Singapore Limited	1,239,458	0.05	31/12/2015
5	Barclays Capital Securities Limited	2,436,025	0.10	31/12/2015
6	BNP Paribas Securities Services	14,867,513	0.59	31/12/2015
7	BNP Paribas Securities Services - Paris	7,633	0.00	31/12/2015
8	BNP Paribas Wealth Management Hong Kong Branch	6,034,348	0.24	31/12/2015
9	BOCI Securities Limited	5,401,461	0.21	31/12/2015
10	Brown Brothers Harriman & Co.	39,666,660	1.57	31/12/2015
11	BSI SA	103,500	0.00	05/01/2016
12	CACEIS Bank Deutschland GmbH	549,853	0.02	31/12/2015
13	Caceis Bank Luxembourg	658,854	0.03	11/01/2016
14	Chelsea Securities Limited	15,279,500	0.60	31/12/2015
15	China Construction Bank (Asia) Corporation Limited	5,054,106	0.20	31/12/2015
16	Chiyu Banking Corporation Ltd	3,042,408	0.12	31/12/2015
17	Chong Hing Bank Limited	1,008,665	0.04	31/12/2015
18	Chong Hing Securities Ltd	5,465,353	0.22	31/12/2015
19	Chow Sang Sang Securities Ltd	369,090	0.01	31/12/2015
20	Citibank (Hong Kong) Limited	3,582,720	0.14	31/12/2015
21	Citibank, N.A. London Branch	32,079,871	1.27	31/12/2015
22	CITIC Securities Brokerage (HK) Limited	4,623,984	0.18	31/12/2015
23	Clearstream International S.A.	2,800,214	0.11	31/12/2015
24	CLSA Limited	22,202,083	0.88	31/12/2015
25	Core Pacific - Yamaichi International (H.K.) Ltd. and Core Pacific - Yamaichi Securities (H.K.) Ltd.	282,291	0.01	31/12/2015
26	Corporate Brokers Limited	176,006	0.01	31/12/2015
27	Credit Industriel et Commercial Singapore Branch	123,000	0.00	31/12/2015
28	Credit Suisse AG	4,103,553	0.16	31/12/2015
29	Credit Suisse Securities (Hong Kong) Limited	606,243	0.02	31/12/2015
30	Credit Suisse Securities (USA) LLC	242,814	0.01	31/12/2015
31	Danske Bank	3,487,278	0.14	31/12/2015
32	DB Nominees (Singapore) Pte Ltd	36,000	0.00	31/12/2015
33	Deutsche Bank AG, Hong Kong Branch	16,278,235	0.64	31/12/2015
34	Deutsche Bank AG, London - Prime Brokerage	505,185	0.02	31/12/2015
35	Deutsche Bank AG, London Branch	3,165,452	0.13	31/12/2015
36	Deutsche Securities Asia Limited	545,185	0.02	31/12/2015
37	EFG Bank AG, Hong Kong Branch	506,414	0.02	31/12/2015
38	Euroclear Bank S.A./NV	141,941	0.01	20/01/2016
39	FB Securities (Hong Kong) Limited	1,088,196	0.04	31/12/2015
40	Fideuram Bank (Luxembourg) S.A.	473,800	0.02	31/12/2015

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41	First Shanghai Securities Limited	373,909	0.01	31/12/2015
42	Fubon Bank (Hong Kong) Limited	1,088,196	0.04	31/12/2015
43	Haitong International Securities Group Limited	1,706,510	0.07	31/12/2015
44	Hang Seng Bank Ltd and Hang Seng Securities Ltd	90,903,745	3.60	31/12/2015
45	Harmony Securities Ltd	296,606	0.01	31/12/2015
46	HSBC Bank Plc	7,313,884	0.29	05/01/2016
47	HSBC Broking Securities (Asia) Limited	20,673,030	0.82	31/12/2015
48	HSBC Fund Services Clients Accounts	58,355,959	2.31	31/12/2015
49	HSBC Securities Brokers (Asia) Limited	2,724,981	0.11	31/12/2015
50	I-Access Investors Limited	169,451	0.01	31/12/2015
51	J.P. Morgan International Bank Limited	2,818,803	0.11	31/12/2015
52	J.P. Morgan Whitefriars Inc.	4,908,306	0.19	31/12/2015
53	JPMorgan Chase Bank, N.A.	117,865,681	4.67	31/12/2015
54	JPMorgan Chase Bank, National Association	111,359,287	4.41	31/12/2015
55	KAS BANK N.V.	1,164,916	0.05	31/12/2015
56	KBC Bank NV	394,792	0.02	31/12/2015
57	KGI Securities (Hong Kong) Ltd	920,305	0.04	31/12/2015
58	Lippo Securities Limited	492,420	0.02	31/12/2015
59	Mellon Financial Corporation	50,506,909	2.00	31/12/2015
60	Merrill Lynch International	3,790,091	0.15	31/12/2015
61	Merrill Lynch, Pierce, Fenner & Smith, Inc.	461,541	0.02	31/12/2015
62	Mizuho Trust & Banking (Luxembourg) S.A.	793,700	0.03	31/12/2015
63	Morgan Stanley Hong Kong Securities Limited	2,119,536	0.08	31/12/2015
64	Nanyang Commercial Bank Limited	5,985,698	0.24	31/12/2015
65	National Nominees Limited	1,065,132	0.04	31/12/2015
66	Northern Trust	48,783,568	1.93	04/01/2016
67	OCBC Wing Hang Bank Limited Hong Kong	8,105,488	0.32	31/12/2015
68	OCBC Wing Hang Bank Limited Macau	375,230	0.01	31/12/2015
69	OCBC Wing Hang Shares Brokerage Co. Ltd.	212,007	0.01	31/12/2015
70	Phillip Securities (Hong Kong) Limited	1,316,643	0.05	31/12/2015
71	Pictet & Cie (Europe) SA	12,564,500	0.50	05/01/2016
72	Po Kay Securities & Shares Company Limited	308,633	0.01	31/12/2015
73	Public Bank (Hong Kong) Limited	667,492	0.03	31/12/2015
74	Public Financial Securities Limited	561,662	0.02	31/12/2015
75	Quam Securities Company Limited	235,480	0.01	31/12/2015
76	Rabobank London Branch	70,000	0.00	31/12/2015
77	RBC Investment Services (Asia) Limited	1,016,823	0.04	31/12/2015
78	RBC Investor Services Bank S.A.	2,229,657	0.09	05/01/2016
79	RBC Investor Services Trust	10,796,380	0.43	31/12/2015
80	RSC Raiffeisen Service Center GmbH	172,200	0.01	31/12/2015

