

CLP HOLDINGS LIMITED

**Shareholders Disclosed Under Section 329 of the SFO
Summary as at 31 May 2017**

No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
1	ABCI Securities Company Limited	336,880	0.01	31/05/2017
2	Bank of China (Hong Kong) Limited	48,192,437	1.91	31/05/2017
3	Bank of Communications Co., Ltd. Hong Kong Branch	4,255,990	0.17	31/05/2017
4	Banque Pictet & Cie SA	1,358,600	0.05	06/06/2017
5	Barclays Capital Securities Limited	2,991,264	0.12	31/05/2017
6	BNP Paribas Securities Services	19,591,061	0.78	31/05/2017
7	BNP Paribas Securities Services - Paris	716,154	0.03	31/05/2017
8	BNP Paribas Securities Services Sydney	1,688,371	0.07	02/06/2017
9	BOCI Securities Limited	4,780,913	0.19	31/05/2017
10	BOCOM International Securities Ltd	447,140	0.02	31/05/2017
11	Caceis Bank Luxembourg	500,500	0.02	31/05/2017
12	Caceis Bank Paris	713,761	0.03	31/05/2017
13	Chelsea Securities Limited	15,200,000	0.60	31/05/2017
14	China CITIC Bank International Limited	3,495,169	0.14	31/05/2017
15	China Construction Bank (Asia) Corporation Limited	4,834,136	0.19	31/05/2017
16	Chiyu Banking Corporation Ltd	2,219,457	0.09	31/05/2017
17	Chong Hing Bank Limited	998,665	0.04	31/05/2017
18	Chong Hing Securities Limited	4,168,604	0.16	31/05/2017
19	Chow Sang Sang Securities Limited	328,031	0.01	31/05/2017
20	Citibank (Hong Kong) Limited	3,574,555	0.14	31/05/2017
21	Citibank N.A. Hong Kong	105,873,217	4.19	31/05/2017
22	Citibank N.A. Singapore	5,476,151	0.22	31/05/2017
23	Citibank, N.A. London Branch	27,664,597	1.09	31/05/2017
24	CITIC Securities Brokerage (HK) Limited	569,971	0.02	31/05/2017
25	Citigroup Global Markets Inc	622,613	0.02	31/05/2017
26	Clearstream International	2,279,129	0.09	31/05/2017
27	CLSA Limited	22,202,583	0.88	31/05/2017
28	Core Pacific – Yamaichi International (H.K.) Limited	139,291	0.01	31/05/2017
29	Corporate Brokers Limited	100,692	0.00	31/05/2017
30	Credit Industriel et Commercial, Singapore Branch	143,500	0.01	31/05/2017
31	Credit Suisse AG	2,684,911	0.11	31/05/2017
32	Credit Suisse AG, Hong Kong Branch	2,777,000	0.11	31/05/2017
33	Credit Suisse Securities (Europe) Limited	532,964	0.02	31/05/2017
34	CS Wealth Securities Limited	203,000	0.01	31/05/2017
35	Danske Bank	3,025,890	0.12	31/05/2017
36	DBS Bank Ltd	67,593	0.00	31/05/2017
37	Deutsche Bank AG, Hong Kong Branch	21,816,978	0.86	31/05/2017
38	Deutsche Bank AG, London – Prime Brokerage	1,250,000	0.05	31/05/2017
39	Deutsche Bank AG, London Branch	4,028,942	0.16	31/05/2017
40	Deutsche Bank AG, Singapore Branch Private Wealth Management	1,017,598	0.04	31/05/2017

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41	Deutsche Securities Asia Limited	1,187,280	0.05	31/05/2017
42	DZ Privatbank S.A.	1,055,500	0.04	31/05/2017
43	East Asia Securities Company Limited	7,700,446	0.30	31/05/2017
44	EFG Bank AG, Hong Kong Branch	366,414	0.01	31/05/2017
45	Emperor Securities Limited	1,004,265	0.04	31/05/2017
46	Euroclear Bank S.A./N.V.	266,960	0.01	31/05/2017
47	FB Securities (Hong Kong) Limited	1,042,296	0.04	31/05/2017
48	First Shanghai Securities Limited	100,000	0.00	31/05/2017
49	Fubon Bank (Hong Kong) Limited	1,042,296	0.04	31/05/2017
50	Fulbright Securities Limited	135,994	0.01	31/05/2017
51	Hang Seng Bank Ltd and Hang Seng Securities Ltd	86,594,333	3.43	31/05/2017
52	HSBC Bank Plc	6,550,512	0.26	31/05/2017
53	HSBC Broking Securities (Asia) Limited	15,410,145	0.61	31/05/2017
54	HSBC Fund Services Client Accounts	62,297,978	2.47	31/05/2017
55	HSBC Securities Brokers (Asia) Limited	3,636,199	0.14	31/05/2017
56	I-Access Investors Limited	100,294	0.00	06/06/2017
57	J.P. Morgan Whitefriars Inc.	2,020,127	0.08	31/05/2017
58	JPMorgan Chase & Co.	761,810	0.03	30/05/2017
59	JPMorgan Chase Bank, N.A.	115,934,708	4.59	31/05/2017
60	JPMorgan Chase Bank, National Association	108,473,970	4.29	31/05/2017
61	KAS Bank N.V.	1,045,707	0.04	31/05/2017
62	KBC Bank NV	384,292	0.02	31/05/2017
63	Lippo Securities Limited	542,920	0.02	31/05/2017
64	Luen Fat Securities Company Limited	294,723	0.01	31/05/2017
65	Macquarie Bank Limited	2,299,304	0.09	31/05/2017
66	Mellon Financial Corporation	19,155,915	0.76	31/05/2017
67	Merrill Lynch International	8,340,568	0.33	31/05/2017
68	Mizuho Securities Asia Limited	462,500	0.02	31/05/2017
69	Mizuho Trust & Banking (Luxembourg) S.A.	503,200	0.02	02/06/2017
70	Morgan Stanley & Co, LLC and Morgan Stanley & Co International plc	4,088,244	0.16	31/05/2017
71	Morgan Stanley Hong Kong Securities Limited	1,822,924	0.07	31/05/2017
72	Nanyang Commercial Bank Limited	4,582,875	0.18	31/05/2017
73	National Nominees Limited	1,781,000	0.07	01/06/2017
74	Northern Trust	54,101,325	2.14	02/06/2017
75	OCBC Wing Hang Bank Limited Hong Kong	6,734,755	0.27	31/05/2017
76	OCBC Wing Hang Bank Limited Macau	372,203	0.01	31/05/2017
77	Pacific Foundation Securities Limited	154,476	0.01	31/05/2017
78	Phillip Securities (Hong Kong) Limited	863,025	0.03	31/05/2017
79	Pictet & Cie (Europe) SA	9,183,500	0.36	31/05/2017
80	Po Kay Securities & Shares Company Limited	202,707	0.01	31/05/2017

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No.	Name	No. of Shares Interested	% of Issued Share Capital	Position as at
81	Prime Securities Limited	131,684	0.01	31/05/2017
82	Public Bank (Hong Kong) Limited	541,492	0.02	31/05/2017
83	Public Financial Securities Limited	434,662	0.02	31/05/2017
84	Quam Securities Company Limited	192,320	0.01	31/05/2017
85	Raffles Nominees Pte Limited	2,659,084	0.11	13/06/2017
86	RBC Investment Services (Asia) Limited	100,000	0.00	02/06/2017
87	RBC Investor Services Bank S.A.	2,058,894	0.08	02/06/2017
88	RBC Investor Services Trust	7,327,742	0.29	31/05/2017
89	RSC Raiffeisen Service Center GmbH	68,100	0.00	31/05/2017
90	SCB Wealth Management Hong Kong	813,000	0.03	31/05/2017
91	SEB	1,555,593	0.06	31/05/2017
92	SG Securities (HK) Limited	6,585,853	0.26	31/05/2017
93	Shanghai Commercial Bank Limited	15,299,945	0.61	31/05/2017
94	Siu On Securities Ltd	1,150,400	0.05	31/05/2017
95	SIX Securities Services	12,719,673	0.50	31/05/2017
96	Skyway Securities Investment Limited	165,000	0.01	31/05/2017
97	Societe Generale Securities Services S.p.A.	446,635	0.02	31/05/2017
98	Standard Chartered Bank (Hong Kong) Limited	250,648,301	9.92	31/05/2017
99	Standard Chartered Private Bank	3,665,048	0.15	31/05/2017
100	State Street Bank and Trust Company	212,453,361	8.41	31/05/2017
101	Sumitomo Mitsui Trust Bank (U.S.A.) Limited	4,335,470	0.17	02/06/2017
102	Sun Hung Kai Investment Services Limited	2,665,395	0.11	31/05/2017
103	Tai Tak Securities (Asia) Ltd.	173,000	0.01	31/05/2017
104	Taiping Securities (HK) Co. Limited	414,954	0.02	31/05/2017
105	Tak Fung Shares Inv. Co Ltd.	138,768	0.01	31/05/2017
106	The Bank of East Asia, Limited	17,320,635	0.69	31/05/2017
107	The Bank of New York Mellon Corporation	109,447,526	4.33	02/06/2017
108	The Hongkong and Shanghai Banking Corporation Limited	435,529,378	17.24	31/05/2017
109	The Hongkong and Shanghai Banking Corporation Limited, Private bank division	35,697,555	1.41	31/05/2017
110	The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch - Private Banking Division	4,504,558	0.18	31/05/2017
111	The Nomura Trust and Banking Co., Ltd.	490,018	0.02	31/05/2017
112	UBS (Luxembourg) S.A.	2,341,000	0.09	31/05/2017
113	UBS AG London Branch	417,000	0.02	01/06/2017
114	UBS Securities Hong Kong Limited	23,033,986	0.91	31/05/2017
115	UBS Switzerland AG	4,740,611	0.19	30/05/2017
116	Wing Lung Bank Limited	8,221,279	0.33	31/05/2017
117	Wocom Securities Limited	610,586	0.02	31/05/2017